

## Practical Usage Guide

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## Availability and Copyright

## Availability

The Config4\* software and its documentation (including this manual) are available from www.config4star.org. The manuals are available in several formats:

- HTML, for online browsing.
- PDF (with hyper links) formatted for A5 paper, for on-screen reading.
- PDF (without hyper links) formatted 2-up for A4 paper, for printing.

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## Chapter 1

## Introduction

## 1.1 The Purpose of the Manual

Sometimes, when a new programming/programmable technology is released, it can take developers several years to figure out how they can exploit the technology to best effect.

For example, let's assume that Sony or Nintendo release a new video game console that is significantly more powerful than the current generation of game consoles. The games released soon after the launch of this new games console may well be very enjoyable, but they are unlikely to push the console to the limits of its capabilities. It is likely to be the games that are released a few years after the console's launch that will fully exploit its capabilities.

By default, one might expect that developers will initially use Config4\* in simple ways—for example, to process a handful of variables in a runtime configuration file—and only after several months or years will developers figure out how to use Config4\* in more adventurous ways that exploit its full capabilities.

This manual provides some shortcuts on that road to enlightenment. As I explain in the *History* chapter of the *Config4\* Maintenance Guide*, the maturing of Config4\* from its inception to its first public release took place over almost 15 years. During those years, I used prototype versions of Config4\* in personal projects. This extensive use of Config4\* has given me insights into how Config4\* can be used in non-trivial ways. In this manual, I share many of those insights, so readers can learn to properly exploit Config4\* sooner rather than later.

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CHAPTER 1. INTRODUCTION

#### 1.2 Structure of this Manual

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The chapters in this manual are grouped into three parts.

The chapters in Part I provide examples of relatively straightforward ways to use Config4\* for a wide variety of purposes.

Part II discusses issues associated with using a configuration file to specify details for the creation and initialisation of objects.

Part III provides a case study of how Config4\* is used in Config4JMS, which is a library that simplifies use of the Java Message Service (JMS).

# Part I Straightforward Uses of Config4\*

## Introduction to Part I

The chapters in Part I provide examples of relatively straightforward ways to use Config4\*. If there is any logic to the sequencing of chapters here, it is that I have arranged them in approximate order of increasing complexity. But, in general, each chapter in Part I is self-contained. This makes it feasible to read the chapters out-of-sequence, or to read a single chapter that matches your interests and ignore the rest.

## Chapter 2

# Migrating from Another File Format

## 2.1 Introduction: Description of Problem

Consider the following scenario. Your company has been selling an application for the past five years and, up until now, that application has used, say, an XML file to store its configuration information. You are designing an updated version of the application, and you would like to switch from using XML to Config4\* for its configuration file. There is just one problem: you need to find a way for users to be able to automatically convert their existing XML-based configuration data into Config4\* format. How can you achieve this goal?

#### 2.2 Solution

An easy way to help users migrate from, say, an XML file format to Config4\* is to write a program that does the following:

- 1. Call Configuration.create() to create an empty configuration object.
- 2. Parse an input XML file and store the result in a DOM tree.
- 3. Traverse the DOM tree and, for each name-value pair you encounter, call cfg.insertString() or cfg.insertList() to add the name-value pair into the configuration object.

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#### 8 CHAPTER 2. MIGRATING FROM ANOTHER FILE FORMAT

4. When you have finished traversing the DOM tree, call cfg.dump() to get a textual representation of all the configuration information, and write this to a configuration file.

That's all that is required.

CHAPTER 3. PREFERENCES FOR A GUI APPLICATION

## Chapter 3

## Preferences for a GUI Application

#### 3.1 Introduction: GUI Preferences

Many applications expose their configuration files to users. If a user wishes to reconfigure such an application, then he or she uses a text editor to modify its configuration file. That approach is common for applications that do *not* have a graphical user interface (GUI). However, in GUI-based applications, it is common for configuration changes to be made through the GUI itself rather than through an external text editor.

For example, when using a GUI application on Microsoft Windows, you can typically use the  $Tools \rightarrow Options...$  menu item to open a tabbed dialogue box that enables you to modify the application's configuration. The equivalent menu item in GUI applications running on UNIX-based operating systems is often  $Edit \rightarrow Preferences$ .

## 3.2 Persisting Preferences

When you make changes to the "preferences" or "options" of a GUI-based application, the application saves those changes to a (hidden) configuration file, so that if you quit the application and restart it, then the application can reload the most recent set of configuration values. A GUI application on Microsoft Windows typically uses the Windows Registry as its "hidden configuration file". A GUI application running on UNIX

might use a Java properties file or an XML file for the same purpose.

## 3.3 Using Config4\* to Persist Preferences

You may be wondering if, when developing a GUI application, you could use Config4\* to store the application's preferences/options. Unfortunately, I do not know the answer to that. This is because I do not have much experience with developing GUI applications, and hence cannot offer knowledgeable advice.

I suspect that most GUI applications are built with the aid of a framework library that simplifies the development of such applications. For all I know, such framework libraries might automate the saving and loading of preferences/options data. If that is the case, then those framework libraries are probably programmed to use, say, the Windows Registry or an XML file. If this assumption is correct, then it will probably be easier for you to use that provided functionality rather than try to modify the framework library (or work around it) to use a Config4\* file instead.

However, perhaps some readers will be building a GUI application without the aid of such a framework library. Or perhaps some readers want to implement a new framework library that simplifies the development of GUI applications. If such readers want to consider using Config4\*, then I offer the following advice:

- Read a Config4\* configuration file in the usual way, that is, by creating an empty Configuration object and then calling parse(). However, also use fallback configuration so that a GUI application can work "out of the box".
- When the user modifies some of the preferences/options via the GUI, call cfg.insertString() or cfg.insertList() to insert (or update) the corresponding entries in the configuration object. Then call cfg.dump() to get a textual representation of all the configuration information, and write this to the application's hidden configuration file.
- You might want to read the discussion of the dump command in the *The config4cpp and config4j Utilities* chapter of the *Config4\* Getting Started Guide*. That discussion explains why reading a Config4\* file and then dumping it back again does *not* preserve adaptable configuration that had been present in the original file.

Keep that limitation in mind when designing your framework library or GUI application.

## Chapter 4

## Code Generation

#### 4.1 Introduction

There is a wide variety of domain-specific code generation tools that can generate repetitive code via a user-written template or script file. Often, such tools could be made more flexible by equipping them with a configuration-file parser. In this chapter, I illustrate this by describing a code generation tool that I developed.

Before describing the code generation tool, I first need to provide some background information.

#### 4.2 Overview of CORBA IDL

There are many competing technologies that can be used to build client-server applications. One such technology, CORBA, is a standard for an object-oriented version of remote procedural calls.

One feature central to CORBA is the interface definition language (IDL). An IDL file serves a purpose similar to a Java interface or a C++ header file: it defines a public interface. More specifically, an IDL file defines the public interface(s) of a server application.

IDL provides primitive types such as boolean, short, long, float, char and string. IDL also provides constructed types, including struct (similar to a C struct), a union (similar to a variant record in Pascal), and sequence (roughly similar to a std::vector in C++ or an ArrayList in Java). All these types can be used as parameters to operations defined

in an interface.

Each CORBA product provides an IDL compiler that translates the types defined in an IDL file into corresponding types in a programming language (most commonly C++ or Java, but some CORBA products support other languages, such as C, Ada, SmallTalk, Cobol, PL/I, LISP, or Python). Thus, for example, a C++ programmer can manipulate an IDL struct through its C++ representation, while a Java programmer can manipulate it through its Java representation. An IDL interface is translated into two types in a programming language: a client-side proxy class and a server-side skeleton class. When a client wants to invoke an operation on a remote object in a server process, the client invokes the operation on a local proxy object, which marshals the invocation request into a binary buffer, sends that buffer across the network to the server application, and waits for the reply. A server-side skeleton object unmarshals the incoming request, dispatches it to the target object, then marshals the reply and transmits it across the network to the client.

## 4.3 Architecture of an IDL Compiler

The architecture of a typical IDL compiler is shown in Figure 4.1. A parser analyses an input IDL file, performs semantic checks, and builds an in-memory representation, called an abstract syntax tree (AST), of what it has parsed.

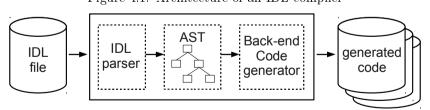


Figure 4.1: Architecture of an IDL compiler

When parsing is finished, control is then passed to the back-end code generator. The code generator traverses the AST (perhaps several times) and uses print statements to generate code. By the way, that high-level architecture is not unique to IDL compilers; compilers for a great many languages are likely to share a broadly similar architecture.

(genie)

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### 4.4 Repetitive Application-level Code

In 1995, I started working in the consultancy and training department of a CORBA vendor (IONA Technologies), When working on consultancy assignments, I noticed it was common for CORBA server applications to contain significant amounts of repetitive code. For example, let's suppose you want to put a CORBA server "wrapper" around a legacy system. If the legacy system has, say, 50 public operations, then you might define one or more CORBA IDL interfaces that, between them, contain a similar number of IDL operations. When you start to implement the CORBA server, you will quickly notice that each operation is implemented in a similar manner:

- Perform data-type translation to convert each input parameter from its IDL type to the corresponding legacy type.
- Then call the legacy operation that corresponds to the IDL operation.
- Finally, perform data-type translation to convert each output parameter from its legacy type to the corresponding IDL type.

It is not uncommon for there to be many thousands (or even tens of thousands) of lines of repetitive code in such server applications.

Writing thousands of lines of repetitive code by hand is error-prone and can quickly become a maintenance nightmare. I decided to design a code-generation tool that could automate the generation of such repetitive code.

## 4.5 Architecture of idlgen

The code-generation tool I developed became known as idlgen, which was a contraction of *IDL code generator*. The high-level architecture for idlgen is shown in Figure 4.2.

If you compare Figures 4.1 and 4.2, you will notice that idlgen has an architecture very similar to that of an IDL compiler. However, there are a few differences, as I now discuss.

The biggest difference is that idlgen replaces the fixed back-end code generator with an interpreter for a scripting language called Tcl. <sup>1</sup> Doing

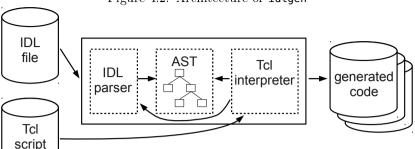


Figure 4.2: Architecture of idlgen

this makes it possible to write a back-end code generator as a Tcl script. The term *genie* is used to refer to a "code **gen**; eration script".

Another, but more minor, difference is that a genie is not "just" a back-end code generator to which control is passed after an input file has been successfully parsed. Instead, a genie (also) acts as the mainline of the code generator. It is the genie that calls the parser to parse an input IDL file. When parsing is complete, control returns to the genie, which can then traverse the AST and generate code. Allowing the genie to control the mainline provides some flexibility. For example, each genie can decide what command-line options it will support.

#### 4.6 Benefits of Code Generation

I found that, when writing back-end code generators in Tcl, I was up to 100 times more productive than writing back-end code generators in

from the name of a command to a C function that implements the command. You can extend the Tcl interpreter with a new command called, say, foo, by writing a C function that implements the desired functionality, and then registering that C function with the name foo in the Tcl interpreter's hash table. Once you have done that, the (now extended) Tcl interpreter can execute scripts that contain the foo command. When implementing idlgen, I used this technique to put a Tcl wrapper around the parser, and Tcl wrappers around each node in the AST produced by the parser.

I chose Tcl because, at the time, it was one of the few scripting languages that had been designed to be extensible. Since then, scripting languages that are designed (or retrofitted) to be extensible are more common. If I were designing idlgen today, then I might be tempted to use Python or Lua instead because they are arguably better scripting languages and have a less unusual syntax.

<sup>&</sup>lt;sup>1</sup>Here are some details for interested readers. The Tcl interpreter is packaged as a library of C functions, and the library contains a hash table that provides a mapping

C++. Obviously, a Tcl-based code generator was not as fast as one implemented in C++, but it was usually "fast enough". For example, a genie would typically generate C++ code about five or ten times faster than a C++ compiler could compile that generated code. Thus, the relative slowness of a code generator implemented in an interpreted scripting language was never a bottleneck in application development.

In many of my consultancy assignments, I realised that the customer's project would require significant amounts of repetitive code. In such cases, I might spend two or three weeks writing a project-specific genie that contained, say, 3000 lines of Tcl, and that genie would then generate the tens (or even hundreds) of thousands of lines of repetitive code required for the project.

My aim is not to engage in self-praise on the merits of idlgen. Rather, I simply offer it as an example of how, when a code generator is the right tool for the job, then it can significantly reduce the effort involved. I assume that many other domain-specific code generators provide similarly significant increases in productivity.

## 4.7 Using Configuration in Code Generation

You may be wondering, "What has idlgen got to do with Config4\*?" The answer is that idlgen has two built-in parsers: one for IDL, and another for a predecessor of Config4\*.<sup>2</sup> The presence of this configuration parser greatly enhances the flexibility, and hence power, of idlgen. I explain why through the following example.

In Section 4.4, I explained how a project that puts a CORBA server wrapper around a legacy system might require a significant amount of repetitive code. Let's assume you are working on such a project, and the IDL file you write for the CORBA server is similar to that shown in Figure 4.3.

When the server application starts, it initially creates one Factory object and one Administration object. An administration client connects to the Administration object and invokes an operation to get information about the server's status, or to ask the server to gracefully shutdown. Other client applications connect to the Factory object and invoke create\_foo() or create\_bar(), which results in the server creating

Figure 4.3: Example IDL file

```
interface Foo {
    void op1(...);
    void op2(...);
    void op20(...);
    void destrov():
interface Bar {
    void op21(...);
    void op22(...);
    . . .
    void op50(...);
    void destrov():
interface Factory {
    Foo create_foo(...);
    Bar create_bar(...):
interface Administration {
    string get_server_status();
    void shutdown_server();
};
```

a new Foo or Bar object on behalf of the client. (The "separate object for each client" approach might be for, say, auditing or security purposes). Then the client can invoke some of the operations on the newly created object. Between them, the Foo and Bar interfaces contain 50 operations (denoted as op1..op50 in the IDL file) that wrap correspondingly named operations in the legacy system. When the client is finished, it invokes destroy() to destroy the Foo or Bar object that the server had previously created for it.

When writing a genie to generate repetitive code for the project, you can code the genie so it parses not just an IDL file, but also a configuration file, such as that shown in Figure 4.4.

Syntactically, the interface\_type variable is a list, but its contents are arranged as a two-column table that maps the name of an IDL interface into a "type": either *singleton* (meaning the server process contains only only instance of the specified interface) or *dynamic* (meaning that a create-style operation is used to create instances of this interface dynamically). Knowing this "type" of each IDL interface makes it possible for

<sup>&</sup>lt;sup>2</sup>This predecessor supports a very limited subset of Config4\*'s syntax: only name=value (where the value could be a string or a list of strings), scopes and an include command.

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```
interface_type = [
                     singleton/dynamic
 # name
 #-----
                     "singleton".
  "Factory".
  "Administration".
                    "singleton",
  "Foo",
                     "dvnamic".
  "Bar",
                     "dvnamic".
1:
operation_type = [
 # wildcarded name
                       type
 #-----
  "Factory::create_*",
                       "create",
  "*::destrov".
                       "destrov".
  "Administration::*",
                       "hand-written",
  "Foo::op1".
                       "query",
  "Foo::op3",
                       "query",
  "Foo::op8".
                       "auerv".
  "Foo::op10",
                       "query",
  "Foo::*",
                       "update",
  "Bar::op27",
                       "query",
  "Bar::op32",
                       "query",
  "Bar::op33",
                       "hand-written".
  "Bar::op30",
                       "query",
  "Bar::*".
                       "update".
code_segment_files = [
   "some-code-segments.txt",
   "more-code-segments.txt",
];
```

the genie to generate a main() function that creates one instance of each singleton interface (and does *not* create instances of dynamic interfaces).

The operation\_type table specifies a "type" for each operation in all the IDL interfaces. To keep this table short, the operation name can contain "\*", which is a wildcard that matches zero or more characters. For example, "\*::destroy" matches both Foo::destroy and Bar::destroy (IDL uses "::" as the scoping operator). This enables the genie to use a cascading if-then-else statement to decide what kind of code to generate for the implementation of each IDL operation, as shown in the following pseudocode:<sup>3</sup>

```
foreach op in anInterface.listOperations() {
    opType = op.getOperationType();
    if (opType == "create") {
        generate_create_operation(op);
   } else if (opType == "destroy") {
        generate_destroy_operation(op);
    } else if (opTvpe == "querv") {
        generate_query_operation(op);
    } else if (opType == "update") {
        generate_update_operation(op);
    } else if (opType == "hand-written") {
        opName = op.getFullyScopedName();
       codeSegmentName = "implementation of " + opName + "()";
       print(getCodeSegment(codeSegmentName));
   } else {
        error("unknown operation type: " + opType);
```

The assumption in the above pseudocode is that most create-style operations can be implemented using one kind of repetitive code, most destroy-style operations can be implemented using a second kind of repetitive code, and so on. There may be some operations that require handwritten code. If a code generation tool uses a general-purpose scripting language, then it should be possible to write a parser for what I call "code segment" files. Such a file contains a collection of named code segments. The syntax used in such a file is not important for the discussion at hand, but Figure 4.5 shows one possible format.

The code\_segments\_files variable in the configuration file specifies a list of code segment files. The genie can parse those files so that the cascading if-then-else statement shown earlier can copy-and-paste a code segment into the generated code for each operation that must be hand-written.

If a code generation tool does *not* enable scripts to parse a configuration file to access information such as that shown in Figure 4.4, then code generation scripts tend to be limited to generating the same type of repetitive code for every interface and every operation. But when, as is the case with idlgen, the code generator provides a parser for configura-

<sup>&</sup>lt;sup>3</sup>Some scripting languages make it possible to use polymorphism (instead of a

cascading if-then-else statement) to call one of several procedures. This technique can result in shorter, easier-to-maintain code. For example, the Tcl syntax is: generate\_\${opType}\_operation \$op. However, I have shown a cascading if-then-else statement for simplicity of discussion.

```
START: implementation of Bar::op33()
... // code that implements Bar::op33()
END: implementation of Bar::op33()

START: implementation of Administration::get_server_status()
... // code that implements Administration::get_server_status()
END: implementation of Administration::get_server_status()

START: implementation of Administration::shutdown_server()
... // code that implements Administration::shutdown_server()
END: implementation of Administration::shutdown_server()
```

tion files, code-generation scripts can become significantly more flexible. In my experience, scripts can become capable of generating *all* the repetitive code required for a project, rather than just a small subset of the code or "starting point" code that a programmer must then modify.

### 4.8 Comparison with Annotations in Java 5

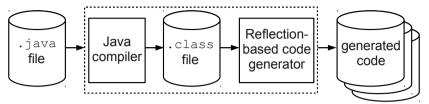
I have explained how it can be useful for a code generation tool to have access to two types of information about data-types: (1) information provided by, say, an AST; and (2) extra information provided in a configuration file, such as that shown in Figure 4.4 on page 19. Java 5 provides broadly similar functionality, as I now discuss.

A Java compiler converts a ".java" file into a ".class" file that contains bytecode *plus* type information. The type information in a ".class" file is conceptually similar to the information available in the AST of a compiler. Java's reflection API makes it possible to navigate the type information stored in ".class" files. This makes it possible to use the reflection API to implement a code-generation tool. You can see this easily by comparing Figure 4.1 on page 14 with Figure 4.6.

Version 5 of Java added several new features to the language, one of which is support for *annotations*. I will briefly describe the syntax and use of annotations, and then explain their relevance to code generation.

A commonly-encountered difficulty in adding a new feature to an existing programming language is that the new feature might require a new keyword, but introducing a new keyword would break existing programs that already use its spelling in identifiers. A (potentially ugly) solution to this problem is to overload the semantics of an *existing* keyword so it

Figure 4.6: Reflection-based code generation in Java



can be used for the new language feature. That was the approach taken by the designers of Java when adding annotations to the language. Java has always used the keyword interface to define an interface. Now, with Java 5, you can use @interface (the "@" symbol in front of the keyword is not a typo) to define an annotation. As an example, here is the definition of an annotation called @CodeGenType:

```
@interface CodeGenType {
    String type();
}
```

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The above defines an annotation, called <code>@CodeGenType</code>, that takes a <code>String</code> parameter called <code>type</code>.

Once an annotation type has been defined, you can instantiate the annotation at the start of the declaration of, say, an interface, class or operation. You can see examples of this in Figure 4.7.

Figure 4.7: Example use of Java annotations

```
@CodeGenType(type = "dynamic") interface Foo
{
    @CodeGenType(type = "query") void op1(...);
    @CodeGenType(type = "update") void op2(...);
    ...
    @CodeGenType(type = "update") void op20(...);
    @CodeGenType(type = "destroy") void destroy();
};
```

Instantiating an annotation at the start of a declaration associates the instantiated annotation with the item being declared. The Java compiler can write details of instantiated annotations into the generated ".class" file. Then, a code generation tool like that shown previously in Figure 4.6 can use Java reflection to access the instantiated annotations associated with declarations.

Look again at the code-generation configuration file in Figure 4.4 on page 19. In particular, notice that the <code>interface\_type</code> table specifies that <code>Foo</code> is a "dynamic" interface. That configuration information is reproduced by the Java annotation on the <code>Foo</code> interface in Figure 4.7. Likewise, the type information specified for operations of <code>Foo</code> in the <code>operation\_type</code> table in Figure 4.4 is reproduced by Java annotations in Figure 4.7. Thus, we see that metadata for code generation can come from either a configuration file or from annotations embedded in an input file. Both approaches seem to offer similar functionality.

Is it a good idea for a programmer to put metadata about a program in source-code files (as is the case with Java annotations)? Or should the programmer put the metadata in a separate file (such as a configuration or XML file)?

I have written many idlgen genies that parsed configuration files to obtain metadata about IDL interfaces. In those genies, the metadata indicated important characteristics about the type of code that should be generated. In other words, the metadata specified high-level implementation details. Implementation details do *not* belong in the specification of an interface. Therefore, it was entirely proper for the metadata to be written somewhere other than in IDL files.

Just as (metadata about) implementation details do not belong in the definition of a CORBA IDL interface, I feel that such metadata do not belong in an Java interface either. However, Java annotations are often used in a class rather than in an interface. And since a class does contain implementation details, it seems reasonable for annotations to appear there.

I do not hold a strong view about whether it is best to store metadata in source-code files or in separate configuration files. Perhaps the decision should be made on a case-by-case basis. If so, then it would be useful for future language designers to equip their languages with: (1) something akin to Java annotations that can be embedded in source-code files; and (2) a standardised way to store metadata in, say, a configuration file. In this way, programmers could mix-and-match the two approaches in whatever way best suits their needs.

## Chapter 5

## Server Applications

#### 5.1 Introduction

It seems intrinsic to the nature of developing client-server applications that tedious, repetitive code has to be written—especially in server applications. For example, server applications are often required to execute not just "business logic" code, but also "infrastructure logic" code for every incoming request: to perform security checks, validate input parameters, log input and output parameters, and so on.

There are many competing technologies for developing client-server applications. Some of those technologies provide ways to automate commonly required, server-side "infrastructure logic", while other technologies require programmers to manually write such code.

In this chapter, I explain how Config4\* can be used to reduce the burden of writing some types of "infrastructure logic" code.

## 5.2 Validation Checks for Parameters

Consider a client-server application in which the client presents a form for the user to fill in, and then sends details from the filled-in form to the server for processing. The server should validate the input data before it tries to process it. Doing this can involve a lot of tedious, repetitive code, as you can see in Figure 5.1.

The pseudocode shown for the placeOrder() operation makes two validation checks on the customerName parameter, in both cases throw-

Figure 5.1: Manual validation of parameters can be tedious

```
void placeOrder(
   String
             customerName
   String[] shippingAddress,
   Float
             cost.
   String
             creditCardNumber
   Strina
             discountCode) throws ValidationException
   if (customerName == null) {
       throw new ValidationException("You must specify a "
                             + "value for customerName");
   if (customerName.length() > 40) {
       throw new ValidationException("The value of "
                             + "customerName is too long");
   ... // validation checks for the other parameters
   ... // business logic code
```

ing a descriptive exception if the check fails. If all the parameters to placeOrder() require a similar level of validation checking, then the programmer will have to write and maintain several dozen lines of validation code for just a single operation. And if the server's public interface has many operations, then it is easy to imagine the server containing many hundreds or even several thousands of lines of validation code.

A better approach is to use Config4\* to define a simple schema language for describing the validation checks to be performed on parameters. A hypothetical example of this is shown in Figure 5.2.

The configuration file contains a scope for each operation in the public interface of the server. Within such a scope, there are variables corresponding to each parameter of the operation. The value of a variable is a list of name=value strings that specify the validation checks to be performed on the parameter when the operation is invoked. Figure 5.3 shows the outline of a Validator class that can perform the validation checks described in the configuration file shown in Figure 5.2.

The Validator class provides a validate() operation that is overloaded for parameters of different types. It might require, say, 500–1000 lines of code to implement this class, but that class needs to be implemented just once and then it can be reused to perform parameter

validation for many different operations in a single server. Perhaps the class could be reused across several related projects. Thus, the effort required to implement the Validator class can be repaid easily if use of the class significantly reduces the amount of parameter-validation code required in server operations. Figure 5.4 shows the intended use of the Validator class.

During initialisation, the server parses a configuration file containing the parameter-validation rules (like those shown in Figure 5.2). As the pseudocode in Figure 5.4 shows, this configuration file could be embedded in the application via use of the config2cpp or config2j utility. Then, the body of each operation in the server can validate all its parameters in a concise way: it creates a Validator object and calls validate() once for each parameter. In this way, the amount of validation code required in an operation with N parameters can be reduced from, say, 8N lines of code (as illustrated by the validation checks for customerName in Figure 5.1 on page 26) to just N+1 lines of code (as illustrated in Figure 5.4).

If the public API of the server is defined in, say, CORBA IDL, and

Figure 5.3: A Validator class

```
public class Validator {
   private Configuration cfg;
   private String
                          opName:
   public Validator(Configuration cfg, String opName)
        this.cfg = cfg;
       this.opName = opName:
   }
   public void validate(String value, String paramName)
                                      throws ValidationException
       String[] constraints = cfg.lookupList(opName, paramName);
       //----
       // Iterate over "constraints" and throw an exception if
       // "value" violates anv of them.
       //----
   public void validate(String[] value, String paramName)
                                      throws ValidationException
   { ... }
   public void validate(Float value, String paramName)
                                      throws ValidationException
   { ... }
```

you have access to a code generation tool, for example, idlgen, then it is possible to reduce the amount of hand-written validation code even further. You can do this by writing a genie that generates a Util class containing utility methods that encapsulate the N+1 lines of validation code for each public operation of the server. By doing this, the code of placeOrder() can be reduced to that shown in Figure 5.5: parameter validation is achieved by delegating to the (generated) utility operation Util.validatePlaceOrder().

To briefly summarise, in this section I have shown a two-step approach to significantly reduce the amount of parameter validation code

```
//-----
// The following code is executed during server initialisation.
validationCfg = Configuration.create();
validationCfg.parse(Configuration.INPUT_STRING,
                    embeddedValidationConfig.getString());
//----
// This is an example of how to perform parameter-validation
// in an operation.
//----
void placeOrder(
   String customerName,
   String[] shippingAddress,
   Float
            cost.
            creditCardNumber
   Strina
   String
            discountCode) throws ValidationException
    Validator v = new Validator(validationCfg, "placeOrder");
    v.validate(customerName, "customerName"):
   v.validate(shippingAddress, "shippingAddress");
    v.validate(cost, "cost");
    v.validate(creditCardNumber, "creditCardNumber");
    v.validate(discountCode, "discountCode");
    ... // business logic code
```

Figure 5.5: Encapsulating calls to validate() in utility functions

that needs to be embedded in server-side operations.

The first step is to write a Validator class (Figure 5.3 on page 28) that can perform parameter validation checks based on information in a configuration file (Figure 5.2). By doing this, the amount of validation code required in an operation with N parameters can be reduced from, say, 8N lines of code (as illustrated by the validation checks for customerName in Figure 5.1 on page 26) to just N+1 lines of code (as illustrated in Figure 5.4).

The second step is to write a genie that generates a Util class containing utility methods that encapsulate the N+1 lines of validation code for each public operation of the server. By doing this, the parameter validation code embedded in each public operation can be reduced to a single line of code that delegates to a generated utility operation (Figure 5.5).

Ideally, those two steps would *not* need to be performed by an application developer. Instead, the Validator class and the genie would be provided by a vendor who sells tools for building client-server applications. If this were done, then an application developer might not even need to explicitly invoke Util.validate<OperationName>() from the body of a public operation. Instead, that invocation could be made from the dispatch logic generated by the vendor's tools for building client-server applications.

## 5.3 Dispatch Rules

Consider the following scenario. You are in charge of a team that is developing a client-server application. You decide to split your team into two sub-teams: one to develop the client application, and the other to develop the server application. In this way, you hope to get some development work done in parallel. However, it turns out that there is a lot more work required to develop the server application than to develop the client application. The client development team reach their first milestone fairly quickly; then they start to complain that they need a server to test their client against, but the server team have not yet finished their work. Can anything be done to help the client development team make progress?

The obvious solution is for the client development team to implement a test version of the server so they can test their client against it. Even if this test server offers simplistic functionality, it will at least permit

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the client development team to test basic connectivity. A drawback of this approach is that the test server will have a short lifespan—it will be discarded when the real server application is mature enough to test against—so any work put into writing the test server will appear to be wasted effort. In this section, I describe an alternative approach; one in which Config4\* plays a small but important role.

Let's assume the server application exposes an interface called Foo that defines 10 operations. The server team intend to write a class, called FooImpl, that implements that interfaces. The implementation of the operations in that class will contain the "business logic" code required in the server.

My suggestion is that, along with implementing the FooImpl class, the server should contain two other classes, as I now discuss.

The FooTest class implements the operations of the Foo interface, but with "test logic" rather than with "business logic". The test logic in an operation might be as simple as printing a message to say the operation was called and then return a dummy result. Or perhaps the test logic might be more complex. The choice is up to the client development team, since they will be writing this class and using it to test their client application.

The other class, FooDispatch, also implements the operations of the Foo interface. Each incoming request is executed by the FooDispatch class, and that class uses a simulation\_rules configuration variable like that shown in Figure 5.6 to decide if it should delegate the request to the corresponding operation on the FooImpl or FooTest class.

Figure 5.6: Simulation rules

```
simulation_rules = [
    # wildcarded operation name simulate?
    #-----
    "Foo.op1", "true",
    "Foo.op3", "true",
    "*", "false",
];
```

The simulation\_rules table maps a wildcarded string of the form *interface.operation* to a boolean value. Code to implement that mapping is provided by the shouldSimulate() operation of the FooDispatch class, which is shown in Figure 5.7.

The constructor of the FooDispatch class creates instances of both

Figure 5.7: Pseudo-code of the FooDispatch class

```
class FooDispatch implements Foo {
    private FooImpl businessObj;
    private FooTest testObj;
    private boolean simulateOp1;
    private boolean simulateOp2:
    private boolean simulateOp10:
   public FooDispatch(String[] simulationRules)
        businessObj = new FooImpl();
        testObi = new FooTest():
        simulateOp1 = shouldSimulate(simulationRules, "Foo.op1");
        simulateOp2 = shouldSimulate(simulationRules, "Foo.op2");
        simulateOp10 = shouldSimulate(simulationRules, "Foo.op10");
   }
    private boolean shouldSimulate(String[] rules, String opName)
        for (int i = 0; i < rules.length; <math>i += 2) {
            String pattern = rules[i + 0];
            String boolStr = rules[i + 1]:
            if (Configuration.patternMatch(opName, pattern)) {
                return boolStr.equals("true");
            }
        return false;
   }
    public long op1(...)
        Foo targetObj = businessObj;
        if (simulateOp1) {
            target0bj = test0bj;
        return targetObj.op1(...);
    ... // likewise for the other operations
};
```

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FooImpl and FooTest, and stores those as instance variables. The constructor then calls <code>shouldSimulate()</code> to decide whether each operation should delegate to the corresponding operation on the <code>FooImpl</code> or <code>FooTest</code> object. For efficiency, these decisions are cached in boolean instance variables, rather than being recalculated for each invocation. The implementation of an operation uses a simple if-then-else statement to delegate the request to the "test" or "business" object.

Some readers may assume the approach outlined above is burdensome because it requires programmers to write three classes—FooImpl, FooTest and FooDispatch—for the server instead of just one (FooImpl). Doesn't doing this triple the effort required to write the server? Actually, no. The server development team have to write FooImpl, so that does not count as an extra burden. Likewise, if the client development team want a "test server" to test their client against while waiting for the "real" server to be mature enough to test against, then they would have to implement (something similar to) FooTest. As such, the need to write FooTest does not count as an extra burden either. The only extra burden is in writing FooDispatch, and that class is trivial enough to not be much of a burden.

Using the above approach, project development can proceed as follows.

- The server development team start by writing the server mainline, FooDispatch and stubbed versions of both FooTest and FooImpl. These stubbed versions will do something very basic, such as printing a "This operation was called" diagnostic message. The important thing is to get this skeletal version of the server implemented as quickly as possible.
- The client development team start writing their application. When they first need to test against the work-in-progress server, they edit the simulation\_rules table in a configuration file so that all operations delegate to the test logic. In testing, they will be able to see the "This operation was called" diagnostic messages.
- If the client development team need more complex test logic in the server, then they modify the FooTest class however they wish; then recompile and retest. With the client team modifying FooTest and

the server team modifying FooImpl, there should be few, if any, conflicts, because the two teams are modifying different source-code files. Hence, development of the client and its "test" server can proceed in parallel with development of the "real" server.

- Whenever the server team finishes implementing an operation, they can inform the client team. The client team can then modify the simulation\_rules table in their configuration file so they can now test against the "business logic" implementation of that operation. If they discover a bug in that operation, they can inform the server team and modify the simulation\_rules table to revert back to using the "test logic" version of that operation until the bug is fixed.
- When all the operations in the server have been implemented, the simulation\_rules table can be modified so that all operations delegate to the business logic implementations.
- Before you put the server into production, you might decide to modify the shouldSimulate() operation in the FooDispatch class (see Figure 5.7) so that it is hard-coded to return false. In this way, you can guard against the possibility of misconfiguration resulting in the "test logic" implementations of operations being used in a production environment.

Alternatively, if you are not concerned about the possibility of such misconfiguration, then you could deploy the server with the ability to execute either "business logic" or "test logic" implementations of operations. Obviously, the "business logic" implementations would be used in day-to-day operations, but being able to temporarily revert to using "test logic" implementations might be a useful troubleshooting aid for whenever something goes wrong.

There are many competing technologies available for building client-server applications. It is common for these technologies to provide a class that delegates an incoming request to the target object. Such classes always provide some "added value" when performing the delegation. For example, the class might unmarshal an incoming request before dispatching (that is, delegating) it. Or the class might perform auditing, security checks, or manage transaction boundaries when dispatching an incoming request. The "should I dispatch this request to the 'business logic' or 'test logic' implementation of an operation?" functionality could

<sup>&</sup>lt;sup>1</sup>If you are building your client-server application using the Orbix implementation of CORBA, then you could write an idlgen genie to generate FooDispatch and an initial implementation of FooTest.

be designed into the dispatch classes of future technologies for building client-server applications. If that ever happens, then it will remove the (albeit small) burden from application developers of writing code such as the FooDispatch class shown in Figure 5.7.

## 5.4 Summary

It is common for server applications to contain not just "business logic" but also "infrastructure" code. Some technologies for building client-server applications can reduce the burden of implementing some types of infrastructure task. For example, a client-server technology might automate security checks or transaction boundaries.

In this chapter, I have discussed two other types of infrastructure tasks that are unlikely to be automated by current client-server technologies: the validation of input parameters, and deciding whether an incoming request should be dispatched to the real "business logic" implementation of an operation or to a "test" implementation. I have explained how Config4\* can simplify the implementation of both those tasks.

## Chapter 6

## Test Suites

#### 6.1 Introduction

If you are writing software to automate the running of a test suite, then, as I discuss in this chapter, you may find some Config4\* features useful.

### 6.2 Regression Test Suite

Over time, a software project is likely to acquire an ever-growing collection of tests to check that specific pieces of functionality work correctly. It can be useful for a project team to rerun its entire test suite each night, to check if newly added or modified code has broken existing code. In addition, when a developer modifies code in a particular subsystem of the project, it can be useful for him to be able to immediately run the subset of tests that are related to the modified subsystem, rather than wait for the nightly run of the entire test suite.

There are some programming language-specific framework libraries that simplify the task of writing and running tests,<sup>1</sup> but many projects develop their own bespoke testing frameworks.

A feature common to many testing frameworks, whether bespoke or not, is that each test has a unique name, and the testing framework *knows* the names of all the tests. For example:

• If each test is implemented by a separate function, then the name of the function acts as the name of the test, and the test frame-

work contains a map that is (somehow) populated with  $name-of-test \rightarrow pointer-to-function$  entries.

• If each test is implemented as a collection of files in a subdirectory, then the name of a subdirectory acts as the name of the test it contains, and the testing framework can obtain a list of the names of those subdirectories.

Several years ago, when I wrote a bespoke testing framework, I found it useful for the testing framework to obtain two list variables, include\_tests and exclude\_tests, from a configuration file. The testing framework iterated over the entire list of test names, and it executed a test only if: (1) its name matched a wildcarded pattern in the include\_tests list; and (2) its name did not match any wildcarded patterns in the exclude\_tests list. Pseudocode to check those conditions is provided in Figure 6.1.

Figure 6.1: Pseudocode of shouldExecuteTest()

```
boolean shouldExecuteTest(
    String[] includeTests,
   String[] excludeTests,
   Strina
            testName)
    for (int i = 0: i < excludeTests.length: i++) {</pre>
        String pattern = excludeTests[i];
        if (Configuration.patternMatch(testName, pattern)) {
            return false:
   }
   for (int i = 0; i < includeTests.length; i++) {</pre>
        String pattern = includeTests[i];
        if (Configuration.patternMatch(testName, pattern)) {
            return true:
   }
    return false:
```

I found this approach to be simple and effective. For example, you can execute all tests with the following configuration:

```
include_tests = ["*"];
exclude_tests = [];
```

 $<sup>^1</sup> http://en.wikipedia.org/wiki/List\_of\_unit\_testing\_frameworks$ 

Perhaps you use the name of a software component as a prefix on the names of tests related to that component. If so, then you can execute all tests except those related to the foo and bar components by using the following configuration:

```
include_tests = ["*"];
exclude_tests = ["foo_*", "bar_*"];
```

Doing that might be useful if you know that the *foo* and *bar* components currently are unstable, but you want to test the project's remaining components.

As a final example, you can execute the tests for just the foo and bar components by using the following configuration:

```
include_tests = ["foo_*", "bar_*"];
exclude_tests = [];
```

#### 6.3 Performance Test Suite

Figure 6.2 shows a (pseudocode) API of a server application that processes invoices. A client application (somehow) obtains details of invoices and then invokes submitInvoices() to send them, in batches, to the server.

Let's assume you are part of a project team that has been asked to implement that client-server system. Before committing to the project, your manager decides to carry out performance tests of various products that will be used in the project: the database product, the middleware product, and so on.<sup>2</sup> His goal is to determine if the performance targets for the project are feasible. In particular, he wants to determine this feasibility before he commits significant resources to implementing the project. Your manager has asked one member of his team to write a performance test for the database, and he has asked another member, you, to write a performance test for the middleware product. In particular, he wants you to find the answer to the following question: "How many invoices per second is the middleware product capable of transmitting from the client to the server?"

You will probably find it trivial to implement a server for your performance test. In particular, the implementation of the submitInvoices() operation does *nothing* because you are testing the performance of *just* 

Figure 6.2: API of an invoice processing server

```
struct InvoiceItem {
                  productCode:
    lona
    float
                  quantity;
    float
                  price;
    String
                  description;
struct Invoice {
    Strina
                  customerName:
    String[]
                  billingAddress;
    String[]
                  shippingAddress;
    String
                  creditCardNumber:
   InvoiceItem[] items;
    float
                  totalPrice:
};
interface InvoiceProcessor {
    void submitInvoices(Invoice[] invoices);
    ... // other operations
};
```

the middleware product. However, writing the client for your performance test turns out to be a bit more interesting, as I now discuss.

A pseudocode outline of your client is shown in Figure 6.3. The client connects to the server. Then it initializes an array of invoices. Having done that, it starts a timer, invokes submitInvoices() one million times, stops the timer, and reports the average throughput, that is, the number of invoices sent to the server per second.

Figure 6.3: Pseudocode of a performance test

```
invoiceProcessorObj = ...; // connect to the server
Invoice[] invoices = ...;
numIterations = 1000 * 1000;
startTime = getCurrentTime();
for (int i = 0; i < numIterations; i++) {
    invoiceProcessorObj.submitInvoices(invoices);
}
endTime = getCurrentTime();
elapsedTime = endTime - startTime;
throughput = invoices.length * numIterations / elapsedTime;
print("Throughout is " + throughput + " invoices per second");</pre>
```

<sup>&</sup>lt;sup>2</sup> Middleware is software that simplifies the building of client-server applications. CORBA, JMS and Web Services are examples of (competing) middleware standards.

Common sense dictates that the throughput will depend on the size of each invoice, which, obviously, can vary. Perhaps you also suspect that the throughput will depend on the batch size, that is, the number of invoices sent in each call to submitInvoices().<sup>3</sup> These issues mean that you will need to run the performance test multiple times, for different sizes of invoices and different batch sizes. And to be able to do that, you do not want to hard-code information about invoice size or batch size into the test client. Instead, you want that information to be configurable, which is where Config4\* comes in useful.

If you are familiar with XML, then you may know that XPath is a syntax used to specify nodes in an XML document. We can borrow that concept, and apply it (albeit with a different syntax) to specify individual fields within a complex parameter that is passed to an operation. Consider the following examples:

```
InvoiceProcessor.submitInvoices.invoices
InvoiceProcessor.submitInvoices.invoices[2].customerName
InvoiceProcessor.submitInvoices.invoices[2].billingAddress[0]
```

The first line above specifies the invoices array parameter passed to the submitInvoices() operation in the InvoiceProcessor interface. The second line specifies the customerName field of the invoices array indexed by 2. And the third line specifies the first line of that invoice's billing address.

With that syntax in mind, now have a look at the parameter\_rules configuration variable in the test\_10 scope of Figure 6.4.

The parameter\_rules variable is arranged as a two-column table. The first column uses "\*" as a wildcard character to reduce the verbosity of the syntax discussed above. The first line in the table specifies that the length of the invoices array is 10. The second line specifies that the value of all customerName fields is "John Smith". In general, the table is used to specify the length of arrays, and either the value or length of strings.

The parameter\_rules table provides an intuitive and flexible way to configure the size of parameters to an operation when running a performance test. The test\_20 and test\_30 scopes uses the concatenation operator ("+") to reuse the value of test\_10.parameter\_rules but prefix it with a different length of the invoices array. If code that processes parameter\_rules uses the first matching pattern found, then this prefix-

Figure 6.4: Parameter rules for a performance test

```
test_10 {
 parameter_rules = [
   # wildcarded attribute name
                                         attribute's value
   #-----
     "*.invoices.length()",
                                         "10".
     "*.invoices[*].customerName.value()". "John Smith".
     "*Address.length()",
                                         "5",
     "*Address[0].value()".
                                         "29 Street name".
                                         "Name of suburb".
     "*Address[1].value()",
     "*Address[2].value()",
                                         "Reading",
     "*Address[3].value()",
                                         "Berkshire RG1 2LD".
     "*Address[4].value()",
                                         "United Kingdom",
     "*.creditCardNumber.length()".
                                         "16".
     "*.invoices.items.length()",
                                         "4",
     "*.description.length()".
                                         "10".
 ];
test_20 {
 parameter_rules = ["*.invoices.length()", "20"]
                + test_10.parameter_rules;
test_30 {
 parameter_rules = ["*.invoices.length()", "30"]
                + test_10.parameter_rules:
```

ing provides a simple and concise way to run the performance test for different batch sizes.

The question to now ask is the following: How much effort is required to write code that can use parameter\_rules to initialise the invoices parameter in the performance test?

You can find the answer to that question by looking at the pseudocode shown in Figure 6.5. For conciseness, the pseudocode assumes that the parameter\_rules table has been read from the configuration file and is available in the parameterRules instance variable. The test client shown in Figure 6.3 on page 40 would initialise the invoices parameter with the following statement.

<sup>&</sup>lt;sup>3</sup>CPU speed, network latency and network bandwidth are also likely to affect throughput, but I ignore those issues in the following discussion.

Figure 6.5: Pseudocode to process parameter\_rules

```
int getArrayLength(String name) {
    String nameDotLen = name + ".length()";
    for (int i = 0: i < parameterRules.length: <math>i += 2) {
        String pattern = parameterRules[i + 0];
        String attrValue = parameterRules[i + 1]:
        if (Configuration.patternMatch(nameDotLen, pattern)) {
            return integer.parseInt(attrValue);
       }
    return 0: // default value
String allocateString(String name) {
    String nameDotLen = name + ".length()";
    String nameDotVal = name + ".value()":
    for (int i = 0; i < parameterRules.length; <math>i += 2) {
        String pattern = parameterRules[i + 0];
        String attrValue = parameterRules[i + 1];
        if (Configuration.patternMatch(nameDotVal, pattern)) {
            return attrValue;
       } else if (Configuration.patternMatch(nameDotLen, pattern)) {
            int length = Integer.parseInt(attrValue);
            StringBuffer result = new StringBuffer();
            for (int j = 0; j < length; j++) {
                result.append("x");
            return result.toString();
    return ""; // default value
String[] allocateStringArray(String name) {
    int length = getArrayLength(name);
    String[] result = new String[length];
    for (int i = 0; i < length; i++) {
        result[i] = allocateString(name + "[" + i + "]");
    return result;
... continued on the next page
```

Figure 6.5 (continued): Pseudocode to process parameter\_rules

```
... continued from the previous page
InvoiceItem allocateInvoiceItem(String name) {
    InvoiceItem result = new InvoiceItem();
    result.productCode = 0:
    result.quantity = 0;
    result.price = 0;
    result.description = allocateString(name + ".description"):
InvoiceItem[] allocateInvoiceItemArray(String name) {
    int length = getArravLength(name):
   InvoiceItem[] result = new InvoiceItem[length];
   for (int i = 0: i < length: i++) {
        result[i] = allocateInvoiceItem(name + "[" + i + "]");
   }
    return result;
Invoice allocateInvoice(String name) {
    Invoice result = new Invoice():
    result.customerName = allocateString(name + ".customerName");
    result.billingAddress = allocateStringArray(
                              name + ".billingAddress");
    result.shippingAddress = allocateStringArray(
                              name + ".shippingAddress");
    result.creditCardNumber = allocateString(
                              name + ".creditCardNumber"):
    result.items = allocateInvoiceItemArray(name + ".items");
    result.totalPrice = 0:
Invoice[] allocateInvoiceArray(String name) {
    int length = getArrayLength(name);
   Invoice[] result = new Invoice[length];
   for (int i = 0; i < length; i++) {
        result[i] = allocateInvoice(name + "[" + i + "]");
   }
    return result;
```

Some readers may be discouraged by the verbose and repetitive nature of the pseudocode in Figure 6.5. Once you understand how the pseudocode works, then it becomes obvious that the verbosity will be proportional to the quantity and complexity of data-types used as parameters. However, it might be possible to use one of two techniques to eliminate the need to write such verbose, repetitive code.

First, perhaps the public API of the server is defined using a specification language (similar in spirit to CORBA IDL), and perhaps there is a code generation tool (similar in spirit to idlgen) for that specification language. If that is the case, then it should be straightforward to write a code generation tool to generate all the verbose, repetitive code.

Second, perhaps the programming language you are using to write the test client provides reflection capabilities. If so, then you could write a utility class that uses reflection to navigate over the strings, arrays, and nested structures contained within the parameter, and initialise each one.

Once you have found a viable technique for initialising parameters without having to manually write lots of repetitive code, you will discover that a simple performance test client like that shown in Figure 6.3 on page 40 can be very flexible.

#### 6.4 Summary

In this chapter, I have discussed two ways in which Config4\* can be useful for implementing test suites.

First, in a regression test suite, a configuration file might contain include\_tests and exclude\_tests variables that specify a list of wild-carded test names. This provides a simple yet effective way to specify an arbitrary subset of tests that should be run.

Second, writing a performance test suite is conceptually simple, but often time consuming due to the need to write repetitive code to initialise parameter values. Some people hard-code parameter values into a test program, but this results in an inflexible performance test. A more flexible approach is to use a configuration file to store wildcarded metadata about the sizes and values of parameters. Unfortunately, handwritten code to retrieve such metadata and use it to initialise parameters can be verbose and error-prone. However, if you have access to a code generation tool, then you could use it to generate such code. Alternatively, if your performance test suite is written in a language that provides a reflection API, then you could use this to write a utility function that

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can initialise an arbitrary type of parameter from metadata in a configuration file.

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## Part II

## Configuration-driven Object Creation

## Introduction to Part II

Consider the configuration file below:

```
object_1 {
    type = "Person";
    name = "John Smith";
    age = "42";
}
object_2 {
    type = "Car";
    model = "Porsche 996 GT3";
    registration_number = "R13 MEW";
}
```

It is possible to imagine an application that parses the above configuration file and iterates over all the object\_<int> scopes. For each scope, the application creates an object of the type specified by the type variable within the scope, and sets instance variables of the newly created object to the values specified by variables within the scope.

Some readers may question the value of an application written in such a way. After all, it is probably syntactically shorter to just hard-code the creation of objects into the application:

```
obj1 = new Person();
obj1.setName("John Smith");
obj1.setAge(42);

obj2 = new Car();
obj2.setModel("Porsche 996 GT3");
obj2.setRegistrationNumber("R13 MEW");
```

The code can be even more concise if the values for instance variables are passed as parameters to constructors:

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```
obj1 = new Person("John Smith", 42);
obj2 = new Car("Porsche 996 GT3", "R13 MEW");
```

Despite the relative verbosity of using a configuration file to specify the creation and initialisation of objects, this technique can offer important benefits for some niche programming tasks. Part II of this manual explores issues associated with using this technique in Config4\*-based applications.

## Chapter 7

## Limitations of the "uid-" Prefix

#### 7.1 Introduction

Let's assume we want to write an application that creates objects based on information provided in scopes in a configuration file:

```
object_1 {
    type = "Person"; # The type of object to create
    ... # Other name=value pairs specify values for instance variables
}
object_2 {
    type = "Car"; # The type of object to create
    ... # Other name=value pairs specify values for instance variables
}
```

When editing the configuration file to add more object\_<int> scopes, it will be tedious to keep track of which numbers have already been used. To avoid this problem, we might decide to use the "uid-" prefix instead.

```
uid-object {
    type = "Person";
    ...
}
uid-object {
    type = "Car";
    ...
}
```

At first sight, this appears to be an improvement. However, the "uid-" prefix has some some subtle limitations that can affect the ergonomics of a configuration file. This chapter explores those limitations, and suggests an alternative approach that, sometimes, can work better.

To make the issues discussed in this chapter more concrete, I base the discussion around the design of a hypothetical application for monitoring security devices—such as burglar alarms and security cameras—that can be connected to a computer network.

Each scope in a configuration file specifies details for a security device: (1) its network address as a string of the form ip-address:port; and (2) a brief description of its physical location, for example, "front entrance" or "loading bay". When the application examines a configuration scope, it creates a "device driver" object based on information in the scope, and adds that object to a collection. To monitor the status of security devices, the application simply iterates over the collection and invokes an operation on each object that queries the status of the corresponding security device.

In this chapter, I discuss two different approaches that can be taken with Config4\* to store details of each security device. The first approach illustrates limitations of the use of the "uid-" prefix. The second approach avoids using the "uid-" prefix and, in doing so, avoids its limitations.

#### 7.2 Approach 1: With "uid-" Entries

Figure 7.1 shows how a configuration file might use the "uid-" prefix to store details of different types of security devices.

The format of the configuration file in Figure 7.1 is straightforward: there is an uid-camera scope for each camera on the network and, likewise, an uid-burglar-alarm scope for each burglar alarm on the network.

Let's assume that the security monitor application wants to display a warning message about a malfunctioning camera. Several options come to mind for the format of such a message.

The first option is for the message to identify the camera by reporting the expanded form of its uid-camera name, for example, "Camera 'uid-000000042-camera' is malfunctioning". However, such a message is not user-friendly: a user would have to laboriously search through the configuration file for the 43nd occurrence of the "uid-" prefix to identify

```
uid-camera {
    network_address = "192.128.42.006:5000";
    location = "front entrance";
};
uid-camera {
    network_address = "192.128.42.009:5000";
    location = "loading bay";
};
uid-burglar-alarm {
    network_address = "192.128.42.021:5000";
    location = "loading bay";
}
```

the relevant camera.<sup>1</sup>

A second option is for the message to identify the camera by reporting one of its attributes, such as its location: "The camera at location 'front entrance' is malfunctioning". Such a message seems to be user-friendly, but it unambiguously identifies the relevant camera only if the location attribute has a unique value. This may not be the case if there are several cameras placed in the same location (perhaps for redundancy purposes, or perhaps to provide different views of the same location). If there are no existing attributes that are guaranteed to be unique, then you could introduce one, such as id shown in Figure 7.2.

The need to introduce the id attribute in Figure 7.2 is ironic because the whole point of the "uid-" prefix is to avoid users having to invent unique identifiers. By introducing the id attribute, we reintroduce problems that the "uid-" prefix was intended to avoid. In particular, users must ensure that each id has a unique value. This may not be a significant problem if there are just a handful of scopes in a configuration file, but it can become a problem if a configuration file contains hundreds or thousands of scopes. This problem can be eased somewhat if the value of id can be an arbitrary string rather than, say, just an integer. In this case, a user might set a device's id to be its location suffixed by a number. For example, three devices at the front entrance might have id values: "front entrance: 1",

Figure 7.2: Security configuration with id attributes

```
uid-camera {
    id = "1";
    network_address = "192.128.42.006:5000";
    location = "front entrance";
};
uid-camera {
    id = "2";
    network_address = "192.128.42.009:5000";
    location = "loading bay";
};
uid-burglar-alarm {
    id = "3";
    network_address = "192.128.42.021:5050";
    location = "loading bay";
}
```

"front entrance: 2" and "front entrance: 3", while two devices at the loading bay might have id values: "loading bay: 1" and "loading bay: 2". Such a convention can help reduce the difficulty of ensuring unique id values for each of hundreds of devices (assuming there are only a handful of devices at each location). If this approach is taken, then the application can produce messages of the form, "Camera 'front entrance: 2' is malfunctioning". This is more user-friendly than "Camera 'uid-0000000042-camera' is malfunctioning".

The schema definition for the configuration file shown in Figure 7.2 is straightforward, and is shown in Figure 7.3.

Figure 7.3: Schema for the configuration file shown in Figure 7.2

<sup>&</sup>lt;sup>1</sup>The counter for uid entries starts at 0, so 42 is the 43rd occurrence of a uid entry.

<sup>&</sup>lt;sup>2</sup>Actually, the network\_address attribute is likely to be unique, but I will ignore that for the moment because I want to focus on what can be done if there are not any unique attributes.

It is important to note that the Config4\* schema language does not provide any way to ensure that each id variable has a unique value. Because of this, a developer implementing the security-monitoring application would need to write code that checks for clashes in the values of the uid-camera.id variables. You can implement this code as follows. First, you create an empty hash table that will provide an  $id \to scope$  mapping. Then, you populate this hash table by iterating over all the uid-camera scopes to obtain the value of the id variable within each scope. Before adding each id and scope to the hash table, you check if the hash table already contains an entry with the same id value. If it does, then you report the clash as an error.

## 7.3 Approach 2: Without "uid-" Entries

As I explained in Section 7.2, using the "uid-" prefix in the configuration file for the security-monitoring application was not as beneficial as we might have hoped: we still had to introduce an artificial id variable inside each scope. Since the "uid-" prefix is not providing as much benefit as we would like, we might decide to avoid its use altogether, as shown in Figure 7.4.

Figure 7.4: Security configuration without id attributes

```
camera {
    1 {
        network_address = "192.128.42.006:5000";
        location = "front entrance";
    }
    2 {
        network_address = "192.128.42.009:5000";
        location = "loading bay";
    }
}
burglar-alarm {
    1 {
        network_address = "192.128.42.021:5050";
        location = "loading bay";
    }
}
```

This configuration file foregoes both the "uid-" prefix and the id

variable. Instead, the configuration file uses a unique scope name for each camera or burglar alarm. The user has the responsibility of ensuring that there is no clash of these scope names, but this is hardly more of a burden than ensuring there was no clash of the values of id variables. Figure 7.5 shows how this configuration file can be written in an semantically identical but more compact and intuitive syntax.

Figure 7.5: More concise security configuration without id attributes

```
camera.1 {
    network_address = "192.128.42.006:5000";
    location = "front entrance";
};
camera.2 {
    network_address = "192.128.42.009:5000";
    location = "loading bay";
};
burglar-alarm.1 {
    network_address = "192.128.42.021:5050";
    location = "loading bay";
}
```

A security-monitoring application that uses this type of configuration file can report a problem with, for example, "The 'camera.2' device is malfunctioning", which seems clear enough. Thus, in terms of usability, this approach is arguably better than the first approach (discussed in Figure 7.2).

Of course, the scope names for individual devices do not have to be integers, so a user is free to use more meaningful names:

The main drawback of this approach is that the Config4\* schema language is not flexible enough, by itself, to validate the contents of such a configuration file. Instead, a developer must perform schema validation

in a piecemeal manner, as I now discuss.

First, the developer uses the schema shown in Figure 7.6 to validate the top-levels of the configuration file. Notice that this schema ignores the scopes nested within the camera and burglar-alarm scopes.

Figure 7.6: A top-level schema for the configuration in Figure 7.5

```
String[] schema = new string[] {
    "camera = scope",
    "@ignoreScopesIn camera",

    "burglar-alarm = scope",
    "@ignoreScopesIn burglar-alarm"
};
```

Second, to validate the details of each camera, the developer calls <code>listFullyScopedNames()</code> to obtain the names of the scopes nested within <code>camera</code>.

The developer can then validate each of those scopes with the schema shown in Figure 7.7.

Figure 7.7: A schema for a camera scope in Figure 7.5

```
String[] schema = new string[] {
    "network_address = "string",
    "location = "string"
};
```

The developer can validate burglar alarms scopes in a similar manner, that is, by calling listFullyScopedNames() to obtain a list of the scopes nested within burglar-alarm, and then validating each of those nested scopes.

#### 7.4 When to use the "uid-" Prefix

In Section 7.2, I explained why you might *not* want to use the "uid-" prefix. This raises the question: is the "uid-" prefix *ever* useful? In particular, under what circumstances can the "uid-" prefix achieve its

intended goal of eliminating the burden for users to create identifiers with unique values? Unfortunately, I cannot give a definitive answer to that question. This is because the "uid-" prefix was introduced relatively late in the development cycle of Config4\*, so I have not had the opportunity to use it sufficiently often to feel confident that I know all its strengths and weaknesses. However, the *recipes* configuration file shown in Figure 7.8 provides two examples of when the "uid-" prefix *can* be used without problems.

- 1. The "uid-" prefix can be used on the name of a scope if that scope naturally contains a variable whose value: (a) is guaranteed to be unique across all similar scopes; and (b) is suitable for use in human-readable messages. For example, it is reasonable to require each uid-recipe scope to contain a name variable whose value is unique and meaningful to humans. An application can unambiguously report a problem about a specific uid-recipe by referring to the value of its name variable.
- 2. The "uid-" prefix can be used on the name of an item (that is, a scope or variable) if the item is, essentially, anonymous. This is illustrated by the uid-step variables within each uid-recipe scope.

In the security-monitoring application, the uid-burglar-alarm and uid-camera scopes contain a network\_address variable, whose value *is* unique. Because of this, the application could unambiguously identify a device with a message such as, "The camera at network address 192.128.42.006:5000 is malfunctioning".

However, a network address is a low-level piece of information, and a security-monitoring application might prefer to identify a device with a more human-friendly description. It is this desire for a meaningful to humans, unique identifier that motivates either: (1) the introduction of the id variable in Figure 7.2; or (2) finding an alternative to use of the "uid-" prefix, as shown in Figure 7.5.

## 7.5 Summary

In this chapter, I have explored how an application might have a configuration file that contains a separate scope for creating each of an arbitrary number of objects. The obvious approach is to use the "uid-" prefix on the names of scopes. For example:

```
uid-recipe {
   name = "Tea";
    ingredients = ["1 tea bag", "cold water", "milk"];
    uid-step = "Pour cold water into the kettle";
    uid-step = "Turn on the kettle";
   uid-step = "Wait for the kettle to boil":
    uid-step = "Pour boiled water into a cup";
   uid-step = "Add tea bag to cup & leave for 3 minutes";
    uid-step = "Remove tea bag";
    uid-step = "Add a splash of milk if you want";
uid-recipe {
   name = "Toast":
   ingredients = ["Two slices of bread", "butter"];
    uid-step = "Place bread in a toaster and turn on":
   uid-step = "Wait for toaster to pop out the bread";
    uid-step = "Remove bread from toaster and butter it":
```

```
uid-camera { ... };
uid-camera { ... };
uid-camera { ... };
```

Unfortunately, this approach works well only if the scopes *naturally* contain a variable whose value: (1) is guaranteed to be unique across all similar scopes; and (2) is suitable for use in human-readable messages. If this is not the case, then you may find yourself introducing an artificial id variable inside each scope:

```
uid-camera { id = "..."; ... };
uid-camera { id = "..."; ... };
uid-camera { id = "..."; ... };
```

If you find yourself in that situation, then it may be better to forego the use of the "uid-" prefix, and instead employ a unique identifier as a sub-scope:

```
camera.id1 { ... };
camera.id2 { ... };
camera.id3 { ... };
```

## Chapter 8

## The Spring Framework

#### 8.1 Introduction

The Spring framework (www.springsource.org) is a popular Java library for configuration-driven object creation. In particular, Spring-based applications can create Java objects of arbitrary types from configuration information in an XML file. In this chapter, I explore how Spring might be different if it obtained configuration information from a Config4\* file instead of from an XML file.

The purpose of this exploration is *not* to advocate that Spring should be retrofitted with support for Config4\*. After all, there is no need to fix something that is not broken. Rather, the purpose of this chapter is to show the suitability of Config4\* for future projects that might need a Spring-like capability.

### 8.2 Terminology

Java is an Indonesian island that is famous for its export of coffee beans. This has resulted in many Americans using java as a slang term for coffee. This, in turn, has resulted in the Java programming language using coffee-inspired terminology for programming concepts. Of particular note, the term bean (as in a coffee bean) is often used to denote a class (or object) whose public API adheres to several conventions, including the following:

• The class has a public default (that is, parameterless) constructor.

 Rather than making a field (that is, an instance variable) called foo public, the field is kept private, but there are public operations called getFoo() and setFoo() that can be used to read and update the field.

By the way, the combination of a private field and its public **get** and **set** operations is called a *property*.

### 8.3 Reducing the Verbosity of Spring Beans

Now that I have explained the terms bean and property, you might be able to understand the extract of a Spring XML file shown in Figure 8.1.

Figure 8.1: Example of Spring beans

Each bean element contains configuration information that can be used to create and initialise a Java object. The class attribute specifies the type of object to be created. Typically, Spring will create the object by using Java's reflection capabilities to invoke the default constructor of the specified class. Then Spring processes each of the property elements nested inside the bean element. For each property, Spring uses reflection to invoke a set<Name>() operation on the newly-created bean. When doing this, Spring uses reflection to determine the type of the parameter passed to the set<Name>() operation so it can convert the stringified value obtained from the XML file to that appropriate type.

<sup>&</sup>lt;sup>1</sup>Spring has the ability to create an object by invoking a non-default constructor or by invoking a factory method. However, a discussion of those capabilities is outside the scope of this chapter.

Each bean has an id attribute that is required to have a unique value. The manager property in the employee1 bean does not have a value attribute. Instead, it has a ref attribute, the value of which specifies the unique id of another bean. Thus, when Spring is creating the employee1 bean, it (recursively) creates the owner bean too.

We can transform the XML syntax in Figure 8.1 to Config4\* syntax in a straightforward manner. Each XML element becomes a correspondingly named scope in the Config4\* file. If there can be multiple occurrences of the XML element, then the "uid-" prefix is used on the name of the corresponding Config4\* scope. Thus, the bean and property elements become uid-bean and uid-property scopes. Each XML attribute becomes a variable in the Config4\* file. The result of this transformation is shown in Figure 8.2.

Figure 8.2: Simple representation of beans in Config4\* syntax

```
uid-bean {
   id = "employee1"; class = "com.foo.bar.Employee";
   uid-property { name = firstName; value = "John"; }
   uid-property { name = lastName; value = "Smith"; }
   uid-property { name = age; value = "24"; }
   uid-property { name = manager; ref = "owner"; }
}

uid-bean {
   id = "owner"; class = "com.foo.bar.Employee";
   uid-property { name = firstName; value = "Jane"; }
   uid-property { name = lastName; value = "Doe"; }
   uid-property { name = age; value = "42"; }
}
```

Unfortunately, this straightforward transformation has resulted in a Config4\* file that is more verbose than the original XML file. However, there is room for some improvements as I now discuss.

In Section 7.3 on page 55, I turned an uid-camera scope that contained an id variable with a unique value into a scope with a name of the form *camera.id*. The same technique can be applied to Figure 8.2. In fact, the technique can be applied twice. First, we can replace the uid-bean scope and its id variable with a scope that has a name of the form *bean.id*. Second, we can replace the uid-property scope and its name variable with a scope that has a name of the form *property.name*.

These changes result in the configuration file shown in Figure 8.3.

Figure 8.3: Enhanced representation of beans in Config4\* syntax

```
bean.employee1 {
    class = "com.foo.bar.Employee";
    property.firstName.value = "John";
    property.lastName.value = "Smith";
    property.age.value = "24";
    property.manager.ref = "owner";
}

bean.owner {
    class = "com.foo.bar.Employee";
    property.firstName.value = "Jane";
    property.lastName.value = "Doe";
    property.age.value = "42";
}
```

This revised Config4\* file is more concise than the original XML file. Of course, the word "property" is written repeatedly in each bean, so that invites the possibility of writing the beans with an explicitly-opened property scope to save a few more keystrokes, as shown in Figure 8.4.

In summary, a straightforward translation of XML syntax into Config4\* syntax can result in a more verbose file. However, with some simple tweaking, it is possible to produce a Config4\* file that is more concise than its XML counterpart.

#### 8.4 The Benefits of @include

Unfortunately, XML does not provide a mechanism for one XML file to include the contents of another. Because of this, the designers of Spring had to implement their own mechanism. The syntax is illustrated below:

```
<import resource="another-file.xml"/>
```

If Spring were to be redesigned to use Config4\* instead of XML, then the ability to include another file would be obtained without any developer effort via the @include statement.

Figure 8.4: Enhanced representation of beans in Config4\* syntax

```
bean.employee1 {
    class = "com.foo.bar.Employee";
    property {
        firstName.value = "John";
        lastName.value = "Smith";
        age.value = "24";
        manager.ref = "owner";
    }
}

bean.owner {
    class = "com.foo.bar.Employee";
    property {
        firstName.value = "Jane";
        lastName.value = "Doe";
        age.value = "42";
    }
}
```

## 8.5 The Benefits of @copyFrom

Sometimes, a Spring configuration file contains several bean element in which *most* properties have identical values. In such a case, it can be useful to reuse some of the details of one bean when defining the other beans. Spring uses the term *bean inheritance* to refer to this form of reuse, and an example of it is shown in Figure 8.5.

The widget1 bean defines properties t, u, v, w, x and y. The widget2 bean uses the parent attribute to specify that it will inherit (that is, reuse) some of the details from the widget1 bean. In this case, widget2 inherits the class attribute plus most of the properties. However, widget2 redefines the v property and also defines an additional property: z.

If Spring were to be redesigned to use Config4\* instead of XML, then the semantics of bean inheritance would be obtained without any developer effort via the @copyFrom statement, as you can see in Figure 8.6.

#### 8.6 The Benefits of Pre-set Variables

Conceptually, the contents of a Spring XML file can be split into two types of configuration: *static* and *runtime*.

Figure 8.5: Example of Spring bean inheritance

Figure 8.6: Configt4\* equivalent of Spring's bean inheritance

```
bean.widget1 {
    class = "com.foo.bar.Widget";
    property.t.value = "...";
    property.u.value = "...";
    property.v.value = "...";
    property.w.value = "...";
    property.x.value = "...";
    property.y.value = "...";
}

bean.widget2 {
    @copyFrom "bean.widget1";
    property.v.value = "...";
    property.z.value = "...";
}
```

Static configuration. The values of id and class attributes, and the values of *most* properties are likely to remain static for the duration of the project or change only rarely.

Runtime configuration. A *small* number of properties—with names like host, port and logDir—are likely to change for each runtime environment in which you deploy the application.

In a large, Spring-based application, the Spring XML file might contain thousands of lines of static configuration and only a few lines of runtime

configuration. With such an application, it is undesirable to tell administrators, "Here is a 2000-line Spring XML file; you need to be concerned with only fives lines in it: the logDir property on line 42, the host property on line 837, ..." From a usability perspective, it would be better to tell administrators to modify a 5-line Java properties file containing only runtime configuration variables, and arrange for the application to (somehow) merge the contents of that properties file with the 2000-line Spring XML file.

Spring provides a mechanism to merge the contents of a properties file with a Spring XML file. However, before explaining the mechanism, I need to provide some background information on Spring.

Spring creates Java objects from bean information in an XML file in a multi-step process, a slightly simplified version of which is as follows:

- 1. Spring parses the XML file, and stores the information in an internal format. This internal format is called bean configuration metadata, or metadata for short.
- 2. Spring iterates over metadata to find beans whose class attribute indicate they implement the BeanFactoryPostProcessor interface (which is defined by the Spring library). For each such bean, Spring instantiates the bean and invokes two operations (defined in the BeanFactoryPostProcessor interface) on it. The invocation of these operations gives the bean the opportunity to modify metadata.
- 3. Spring is now ready to instantiate the "ordinary" beans defined by the bean configuration metadata.

Included in the Spring library is the PropertyPlaceholderConfigurer class, which implements the BeanFactoryPostProcessor interface. This class defines a location property that specifies the location of a Java properties file. When a PropertyPlaceholderConfigurer bean is instantiated (in step 2 of the above algorithm), it iterates over the metadata, and replaces occurrences of "\${property.name}" with the value of the named property found in the properties file. Figure 8.7 illustrates use of a PropertyPlaceholderConfigurer bean.

Obviously, the Spring developers had to write code to implement the PropertyPlaceholderConfigurer class. If Spring were to be redesigned to use Config4\* instead of XML, then there would be no need to implement the PropertyPlaceholderConfigurer class. This is because Config4\* provides several ways to merge runtime configuration with static configuration, as you can see in Figure 8.8.

Figure 8.7: Example use of the PropertyPlaceholderConfigurer bean

Figure 8.8: Merging runtime and static configuration with Config4\*

```
@include getenv("FOO_CONFIG", "") if exists:
#-----
# Default values for runtime configuration
#-----
log.dir ?= getenv("F00_HOME") + "/log";
log.level ?= "2";
tcp.host ?= exec("hostname");
tcp.port ?= "8020";
bean.widget1 {
    class = "com.foo.bar.Widget";
    property.logDir.value = .log.dir;
    property.logLevel.value = .log.level;
bean.tcpServer {
    class = "com.foo.bar.TcpServer";
    property.host.value = .tcp.host;
    property.port.value = .tcp.port;
```

The static configuration file can use the conditional assignment operator ("?=") to provide default values for runtime configuration variables. These variables can then be used to specify values for bean properties. The default values of runtime configuration variables can be overridden in two ways.

One way, which is illustrated in Figure 8.8, is to use an @include statement to access information in a runtime configuration file.

The other way is use preset configuration variables (which is discussed in the *Overview of the Config4\* API* chapter of the *Config4\* Getting Started Guide*). In essence, during initialisation, the application iterates over command-line options of the form "-set name value", and invokes cfg.insertString(name, value) for each such option. Doing this, "presets" those variables in the Configuration object. Afterwards, the application invokes cfg.parse("...") to parse a configuration file.

## 8.7 Summary

In this chapter, I have explored how the Spring framework might have turned out differently if Config4\* had been available when Spring was first being developed. This exploration identified two main benefits:

- 1. A Config4\* syntax for defining Spring beans would have been more concise than the corresponding XML syntax. This conciseness would have benefited users because it would have made it easier to write and maintain bean definitions.
- 2. The designers of Spring had to write code to implement several significant pieces of functionality because XML parsers did not provide such functionality. Because Config4\* does provide such functionality, the developers of Spring would have had to write less code if Config4\* had been available to them.

I am *not* advocating that the Spring framework library be retrofitted with support for Config4\*. There are probably tens of millions of lines of existing XML-based Spring configuration files in use across thousands of organisations. I do not think the potential improvements that would arise from the use of Config4\* would justify the effort required for those organizations to migrate their existing projects to use Config4\* syntax.

Rather, the purpose of chapter has been to illustrate that Config4\* is a suitable alternative to XML for future projects that have complex configuration requirements. The next time you are about to start work

on a new XML-based project, it might be worthwhile to stop and explore the question, "Might it be better to use Config4\* instead of XML?" You might discover that using Config4\* will reduce the complexity of implementing the project and improve its user-friendliness.

# Part III

# The Config4JMS Case Study

# Introduction to Part III

Config4JMS is a library, built with the aid of Config4\*, that simplifies use of the Java Message Service (JMS). You can find Config4JMS in the config4jms subdirectory of Config4J. Config4JMS offers two significant benefits.

- Applications built with Config4JMS are significantly easier to write, more portable and more flexible than applications build against the raw JMS API.
- Config4JMS makes it easy for an inexperienced developer to "play with" JMS concepts and proprietary features provided by a JMS vendor. This can shave several days or even weeks off the time required for a developer to learn to use a JMS product.

There are several thousand lines of Java source code in the Config4JMS library, and the library provides a feature-rich but concise API. These characteristics mean that Config4JMS can be used as the basis for a case study of how Config4\* can be used "in anger". That is the purpose of this part of the manual.

I start this case study, in Chapter 9, by providing an overview of JMS.

# Chapter 9

# Overview of JMS

#### 9.1 Introduction

Message-oriented middleware (MOM) is software for building distributed applications that communicate by sending messages to each other. JMS is a standardised Java API for MOM products. This chapter provides an overview of JMS. Then, the next chapter explains how Config4JMS provides a simplified "wrapper" for JMS.

# 9.2 Terminology and Concepts

In JMS terminology, an application that sends a message is called a *producer*, and an application that receives a message is called a *consumer* (or sometimes a *subscriber*). It is common for a JMS-based application to act as both a producer and a consumer at the same time. JMS offers two different approaches for message-based communication: *queues* and *topics*.

A queue provides one-to-one communication. A producer sends a message to a queue, and the message is stored on the queue until it can be delivered to one consumer.

A topic provides one-to-many communication. A producer application sends a message to a topic. The message will be delivered to all the consumer applications that are currently registered as subscribers of that topic. By default, a subscriber registration lasts only while a consumer application is running—when a consumer application terminates,

any subscriber registrations it had will lapse automatically. However, if a consumer application registers itself as a *durable subscriber* to a topic, then the topic will store messages for a durable subscriber that is currently not running, and will deliver those messages later when the durable subscriber is restarted.

## 9.3 Portability

It is possible to imagine many different qualities of service that might be provided by a MOM product. For example, is there a maximum size of message that can be sent to a queue or topic? Is there a maximum number of messages that can be stored in a queue? Should a not-yetdelivered message be discarded if it has not been delivered within a specific period of time? Should messages transmitted across a network be sent in an encrypted format? Or perhaps in a compressed format?

JMS takes a two-pronged approach to standardising such qualities of service.

- Most of the interfaces in the JMS specification contain set<Name>() operations that can be invoked to set a desired quality of service.
- The JMS standardisation committee realised there was too much variation across the proprietary features in existing MOM products to be able to standardise *everything* with set<Name>() operations. However, they felt most of the proprietary features that could not be standardised in this manner were confined to the concepts of a *destination* (the genetic term for a queue or topic) and a *connection* (so called because it is often implemented as a socket connection from an application to a MOM product).

The committee decided that portability for JMS applications could be achieved by specifying the proprietary qualities of service for connections and destinations *outside* application code. It was envisaged that an administrator would pre-create destination objects with proprietary qualities of service, and advertise these destination objects in a naming service. A JMS application would then retrieve pre-created destination objects from the naming service. Likewise, an administrator would pre-create a *connection factory* with proprietary qualities of service, and advertise it in a naming service. A JMS application would retrieve this factory object from

the naming service and use it to create connections. A connection object created in this manner would have whatever qualities of service its factory had.

The JMS specification uses the term *administered objects* to refer to connection factories and destinations because of the intention that such objects would be created by an administrator rather than by application code.

Another portability issue that the JMS standardisation committee had to consider was thread safety. The entire API of one MOM product might be thread-safe, but another MOM product might provide a mixture or thread-safe and thread-unsafe operations. The approach taken to deal with this was to introduce the concept of a *session* object that application developers should assume is *not* thread-safe. The developer of a multi-threaded application is required to create multiple session objects: one for each thread.

#### 9.4 Problems with JMS

JMS is good but, like any technology, it is not perfect. In this section I discuss some irritations and imperfections in JMS that I have discovered. I do this to motivate the development and benefits of Config4JMS.

#### 9.4.1 Books and Manuals Advocate the Legacy API

The JMS 1.0 specification defined one set of interfaces for communication via queues, and a separate set of interfaces for communication via topics.

The JMS 1.1 specification provides a unified set of interfaces that can be used for communication with both queues or topics. For backwards compatibility, the JMS 1.1 specification continues to support the original (queue-specific and topic-specific) interfaces.

There are several reasons why developers should use the JMS 1.1 API in new applications. First, the JMS 1.1 specification warns that the original API might be deprecated in a future version of the specification. Second, the unified API provides more opportunities for optimisation in JMS products. Third, the unified API in JMS 1.1 is more concise and easier to use than the original API.

Despite the significant benefits of the unified API, books and product manuals *continue* to use the original API in worked examples. This can

result in developers who are new to JMS needlessly learning an outdated, verbose API instead of the newer, more concise API.

#### 9.4.2 Confusingly Many Initialisation Steps

A portable JMS application must complete many initialisation steps before it can do "real" work. For example, an application acting as both a producer and a consumer typically carries out nine initialisation steps:

- 1. Connect to a naming service.
- 2. Retrieve one or more Destination objects from the naming service.
- 3. Retrieve a ConnectionFactory object from the naming service.
- 4. Invoke createConnection() on the ConnectionFactory object to create a Connection object.
- 5. Create two Session objects by invoking createSession() twice on the Connection object.
- 6. Invoke createProducer() on one of the Session objects (passing a Destination object as a parameter) to create a Producer object.
- 7. Invoke createConsumer() on the other Session object (passing a Destination object as a parameter) to create a Consumer object.
- 8. Create and register a MessageListener object on the Consumer object. (A MessageListener is a callback interface whose onMessage() operation is invoked whenever a Consumer receives a message.)
- 9. Invoke start() on the Connection object.

Psychological research indicates that most people can remember only about seven new pieces of information at a time [Mil56]. Because of this limit, the nine-step initialisation sequence provides a hurdle for new developers to master. This is a shame, because once initialisation is complete, using JMS is straightforward.

#### 9.4.3 Requiring Programmers to Learn Administration Skills

The first initialisation step discussed in Section 9.4.2 is to connect to a naming service that has been populated with ConnectionFactory and

Destination objects. As I explained in Section 9.3 on page 76, the intention is that those objects will be created with proprietary qualities of services (via proprietary administration tools) by an administrator; in this way, application code is not polluted with the setting of proprietary qualities of service.

When an application is being deployed in a production environment, there may well be an administrator available to create the Destination and ConnectionFactory objects, and advertise them in a naming service. However, such an administrator is unlikely to be available during initial application development. This means that JMS developers have to learn how to carry out such administration tasks themselves. In fact, a developer who is starting to learn JMS will have to learn those administration skills before being able to write a portable, "Hello, World"-type JMS application. This is yet another hurdle for new developers to master.

#### 9.4.4 Only Partial Portability in JMS

In Section 9.3 on page 76, I outlined the approach used in the JMS specification to provide portability of JMS-based applications. Unfortunately, the approach used is only partially successful. It is very easy for developers to feel tempted—or sometimes be required—to use vendor-proprietary functionality in a JMS-based application.

In the relatively short period of time I have spent using JMS, I noticed four reasons why a developer might resort to using a proprietary API.

First, obtaining Destination and ConnectionFactory objects from a naming service is inconvenient—especially for a developer new to JMS who does not want to have to spend time learning JMS administration commands before being able to write an application. It is usually more convenient to use vendor-proprietary functions to create Destination and ConnectionFactory objects directly.

Second, it is common for JMS products to offer qualities of service above and beyond those defined in the JMS specification. If these qualities of service are related to, say, Session, Producer or Consumer, then it is natural for a vendor to provide proprietary set<Name>() operations on those types. Put simply, not all proprietary qualities of service can be encapsulated in administered objects.

Third, when a JMS-related operation fails, it throws a (subtype of) JMSException. The developer of an application might wish to process a caught exception in one of several ways, depending on the nature of the exception. However, the JMS specification states that two out of the

three pieces of information provided by JMSException are proprietary to a JMS vendor. Because of this, a developer may need to rely on vendor-proprietary information contained in an exception when deciding how to process it.

Finally, JMS specifies that a message is composed of three parts: (1) header fields, (2) arbitrary properties (that is, name=value pairs), and (3) a body. The intended use of (2) is to support flexible message selection in consumer applications. For example, a producer application running in, say, London, might add location=London to a message's properties before sending the message. A Consumer application could then use the message selector "(location = 'London')" to ensure it receives only messages with that property value. The JMS specification reserves property names starting with "JMS\_<vendor>" for use by JMS vendors. Some vendors use such properties to specify a proprietary quality of service on a per message basis. A developer who wishes to make use of a proprietary, per-message quality of service will have to modify the code of a producer application so it sets the proprietary property prior to sending a message.

# 9.5 Critique: The 80/20 Principle

You may be familiar with some variations of the  $Pareto\ Principle$ , also known as the  $80/20\ Principle$ :

- 1. 80% of the wealth in a country is owned by 20% of the population.
- 2. 80% of CPU time is spent in 20% of the code.
- 3. A business gets 80% of its income from 20% of its customers.
- 4. 80% of the work in a company is done by 20% of the employees.

Sometimes the 80/20 Principle can suggest how to make large improvements for a relatively small investment of effort. For example, if you want to optimise an application for speed, then item 2 in the above list suggests you should use a profiling tool to identify the most CPU-intensive parts of the application, so you can focus your optimisation efforts on them.

There is a little-known variant of the 80/20 Principle that I often find useful:

<sup>&</sup>lt;sup>1</sup>http://en.wikipedia.org/wiki/Pareto principle

80% of a product's complexity is in 20% of its functionality.

If you want to increase a product's ease of use, then you should identify its few areas of disproportionate complexity, so you can focus your ease-of-use efforts on them.

The problems discussed in Section 9.4 account for most of the complexity in JMS, but only a minority of its functionality. The goal of Config4JMS, which is discussed in the next chapter, is to put a "simplification wrapper" around those disproportionately complex parts of JMS.

# Chapter 10

# Config4JMS Functionality

#### 10.1 Introduction

In this chapter, I discuss the functionality provided by Config4JMS. I start by explaining the structure of a Config4JMS configuration file. Then, I discuss the API of Config4JMS. Finally, I explain how use of Config4JMS can increase the portability of applications.

## 10.2 Syntax

Figure 10.1 shows an example of a configuration file used by Config4JMS.

The conditional assignment operator ("?=") is used to assign default values to the variables in the global scope. Those default values can be overridden by, for example, an application's command-line options.

The chat scope contains Config4JMS-related configuration information for an application. Most of the entries within the chat scope are nested sub-scopes that specify details of how to create JMS-related objects. For example, the Topic.chatTopic scope specifies how to create a Topic object called chatTopic, and Session.prodSession specifies how to create a Session object called prodSession.

JNDI is an acronym for the Java Naming and Directory Interface. The jndiEnvironment variable specifies details of how to connect to a naming service.

The Topic.chatTopic scope contains the following variable:

obtainMethod = "jndi#SampleTopic1";

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Figure 10.1: Example Config4JMS configuration file

```
?= "":
username
password
                ?= "":
messageSelector ?= "":
chat {
    config4imsClass = "org.config4ims.portable.Config4JMS":
   indiEnvironment = [
        # name
                                              value
        "java.naming.factory.initial",
        "java.naming.provider.url",
        "java.naming.security.principal",
                                              .username,
        "java.naming.security.credentials",
                                             .password
   ];
   Topic.chatTopic {
        obtainMethod = "indi#SampleTopic1";
    ConnectionFactory.factory1 {
        obtainMethod = "indi#SampleConnectionFactory1";
   Connection.connection1 {
        createdBy = "factory1";
        create {
            userName = .username:
            password = .password;
    Session.prodSession {
        createdBy = "connection1";
        create {
            transacted = "false":
            acknowledgeMode = "AUTO_ACKNOWLEDGE";
   Session.consSession {
        createdBy = "connection1";
        create {
            transacted = "false":
            acknowledgeMode = "AUTO_ACKNOWLEDGE";
... continued on the next page
```

Figure 10.1 (continued): Example Config4JMS configuration file

```
... continued from the previous page
   TextMessage.chatMessage {
       createdBy = "prodSession";
       JMSExpiration = "10 hours";
       JMSPrioritv = "7":
       properties = [
           # name
                        type
                                   value
            "location", "string", "London",
       ];
   MessageProducer.chatProducer {
       createdBy = "prodSession";
       create.destination = "chatTopic":
       deliveryMode = "PERSISTENT";
       timeToLive = "2 minutes";
   MessageConsumer.chatConsumer {
       createdBy = "consSession";
       create {
           destination = "chatTopic";
           messageSelector = .messageSelector;
           noLocal = "false":
       }
```

That variable specifies Config4JMS should obtain the chatTopic object by invoking lookup("SampleTopic1") on the naming service. An alternative setting for this variable might be:

obtainMethod = "file#/path/to/file/containing/a/serialised/java/object";

The createdBy variable in the Connection.connection1 scope specifies that the connection1 object is created by (invoking a factory method on) the object named factory1, which is of type ConnectionFactory. The create sub-scope specifies the parameter values to be used when invoking the factory operation.

In a similar way, the two Session objects are created by invoking a factory method on the connection1 object.

The MessageProducer.chatProducer scope specifies that the object called chatProducer is created by the prodSession object, and that the destination parameter passed to the factory operation is the chatTopic object. This scope also specifies values for two properties:

```
deliveryMode = "PERSISTENT";
timeToLive = "2 minutes";
```

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Config4JMS invokes the setDeliveryMode() and setTimeToLive() operations on the object to set those properties. When doing do, Config4JMS converts "PERSISTENT" into the appropriate integer constant, and converts "2 minutes" into the appropriate number of milliseconds.

Although the example configuration file shows only two properties being set, Config4JMS can be used to set *any* of the properties defined in the JMS specification. As I will discuss in Section 10.4, it is possible for Config4JMS to also set properties that are proprietary to a JMS product.

#### 10.3 API

The API of Config4JMS is defined in the org.config4jms.Config4JMS class, which is shown in Figure 10.2. For brevity, throws clauses are not shown in Figure 10.2.

Rather than discuss every operation individually, I will use the sample code in Figure 10.3 to illustrate basic usage of the Config4JMS API. Then afterwards, I will discuss any remaining operations not illustrated by the example.

## 10.3.1 Basic Usage

The code in Figure 10.3 is an extract from the Chat.java sample application (in the samples/chat sub-directory of Config4JMS).

The code populates a  ${\tt HashMap}$  with name=value pairs for a username and password. In the full  ${\tt Chat.java}$  application, the  ${\tt HashMap}$  is populated via command-line options.

Then the Config4JMS.create() factory operation is invoked to create a Config4JMS object. The first two parameters to this factory operation specify a configuration file (such as that shown in Figure 10.1 on page 84) and a scope within that file. The third parameter is the HashMap containing name=value pairs. Config4JMS uses these to "preset" variables in a Configuration object before parsing the specified configuration file. In this way, these preset variables can override the default values of variables initialised with the conditional assignment operator ("?=") in the

```
package org.config4jms;
//----
// Most operations can throw a Config4JMSException.
// However, the "throws" clause is omitted for brevity.
//----
public abstract class Config4JMS {
   //----
   // No public constructor. Use these create() operations instead.
   //----
   public static Config4JMS create(String
                                             cfgSource,
                                   String
                                             scope,
                                   Map
                                             cfgPresets);
   public static Config4JMS create(String
                                             cfqSource,
                                   Strina
                                             scope.
                                   Map
                                             cfgPresets,
                                   String[] typeAndNamePairs):
   //----
   // Retrieve an object by name.
   //----
   public Object
                            qetObject(String type, String name);
    public ConnectionFactory getConnectionFactory(String name);
    public Connection
                            getConnection(String name);
    public Session
                            getSession(String name);
    public Destination
                            getDestination(String name);
    public Queue
                            getQueue(String name);
    public Queue
                            getTemporaryQueue(String name);
                            qetTopic(String name);
   public Topic
   public Topic
                            getTemporaryTopic(String name);
    public MessageProducer
                            qetMessageProducer(String name);
   public MessageConsumer
                            getMessageConsumer(String name);
   public QueueBrowser
                            getQueueBrowser(String name);
    //----
   // Connection operations.
    public void setExceptionListener(ExceptionListener listener);
    public void startConnections();
    public void stopConnections();
   public void closeConnections();
... continued on the next page
```

Figure 10.2 (continued): Config4JMS API

```
... continued from the previous page
   //----
  // Message operations
   //----
   public Message createMessage(String name):
   public void applyMessageProperties(String name, Message msg);
   //-----
  // List the names of objects of different types.
   //-----
   public String[] listConnectionFactoryNames();
   public String[] listConnectionNames():
   public String[] listSessionNames();
   public String[] listDestinationNames():
   public String[] listQueueNames();
   public String[] listTemporaryQueueNames();
   public String[] listTopicNames();
   public String[] listTemporaryTopicNames();
   public String[] listMessageProducerNames();
   public String[] listMessageConsumerNames();
   public String[] listQueueBrowserNames();
   public String[] listMessageNames();
   public String[] listBytesMessageNames();
   public String[] listMapMessageNames();
   public String[] listObjectMessageNames();
   public String[] listStreamMessageNames();
   public String[] listTextMessageNames();
   //----
   // Frequently used miscellaneous operations
  //----
   public void
                        createJMSObjects();
   public Configuration getConfiguration();
   public boolean
                        isNoConnection(JMSException ex);
   //----
  // Rarely used miscellaneous operations
  //----
   public Object importObjectFromJNDI(String path);
   public Object importObjectFromFile(String fileName);
```

```
HashMap
                   cfgPresets = new HashMap():
Config4JMS
                   ims = null:
MessageProducer
                   producer = null:
TextMessage
                   msa = null:
//----
// Initialisation
//----
try {
    cfgPresets.put("username", "Fred");
    cfgPresets.put("password", "123456");
    ims = Config4JMS.create("example.cfg", "chat", cfgPresets,
                new String[] {"MessageConsumer", "chatConsumer",
                              "MessageProducer", "chatProducer",
                              "TextMessage".
                                                 "chatMessage"}):
    ims.createJMSObjects();
    ims.getMessageConsumer("chatConsumer").setMessageListener(this):
    producer = jms.getMessageProducer("chatProducer");
    jms.startConnections();
} catch(JMSException ex) {
    System.err.println(ex.toString());
    trv {
        if (jms != null) { jms.closeConnections(); }
   } catch(JMSException ex) {
        System.err.println(ex.toString());
    System.exit(1);
//----
// Sample producer code
msg = (TextMessage)jms.createMessage("chatMessage");
msg.setText("Hello, World");
producer.send(msg);
```

configuration file. The last (and optional) parameter to <code>create()</code> is an array of pairs of strings. Each pair specifies the <code>type</code> and <code>name</code> of an object that is expected to be specified in the configuration file. In effect, this parameter specifies a contract between the configuration file and the Java code. (If the contents of the configuration file do not satisfy the

contract, then Config4JMS.create() throws an exception.) This contract enables a Java developer to *not* have to continually refer back to a configuration file to verify the types and names of the JMS objects being used in the Java code.

The create() operation parses the specified configuration file, performs schema validation on the specified scope, and ensures that the configuration file defines the expected objects of the specified *type* and *name*. The create() operation also copies the configuration information into a more convenient internal format.

The createJMSObjects() operation instructs Config4JMS to create all the objects defined in the configuration file.

After createJMSObjects() has been invoked, an application can call get<Type>() operations to retrieve specific objects by name. For example, getMessageConsumer("chatConsumer") returns the chatConsumer object of type MessageConsumer.

The startConnections() operation instructs Config4JMS to invoke the start() operation on all the Connection objects that it created from information in the configuration file. Likewise, closeConnections() causes close() to be invoked on all the Connection objects.

Each time createMessage() is called, it creates a new Message object. It sets headers specified in configuration (such as JMSExpiration and JMSPriority) and can also set type-specific name=value pairs as indicated in the properties configuration variable.

#### 10.3.2 Other Operations

The example in Figure 10.3 illustrates most of the commonly-used operations provided by Config4JMS. I now quickly summarise its remaining operations.

Calling applyMessageProperties() on an existing Message object resets the object's headers and properties to values specified in the named configuration scope.

Each list<Type>Names() operation returns an array of the names of objects of the specified type. For example, when using the configuration file shown in Figure 10.1 on page 84, the listSessionNames() operation would return a list containing two strings: "prodSession" and "consSession".

The getConfiguration() operation returns a reference to the Config4\* Configuration object used internally by the Config4JMS object.

The setExceptionListener() operation instructs Config4JMS to register an ExceptionListener object on all the Connection objects created from information in the configuration file.

The isNoConnection() operation examines a JMSException to determine if it was caused by a broken socket connection. A developer might use this operation in combination with setExceptionListener() to write an application that can detect when its connection to JMS infrastructure is broken, and attempt to re-establish the connection. The ReconnectableChat.java sample application (in the samples/chat subdirectory of Config4JMS) illustrates this technique.

The importObjectFromJNDI() operation uses the specified path to lookup an object from the naming service that was configured via the jndiEnvironment configuration variable.

The importObjectFromFile() operation reads a serialised Java object from the specified fileName.

## 10.4 Accessing Proprietary Features

Config4JMS is an abstract base class. Its static create() operation uses reflection to instantiate a concrete subclass. The name of the concrete subclass is specified by the config4jmsClass variable in the runtime configuration file. The example configuration file shown in Figure 10.1 on page 84 sets that variable as follows:

```
config4jmsClass = "org.config4jms.portable.Config4JMS";
```

That class has been coded to recognise only configuration entries that reflect the standardised API of JMS. I have implemented another class that recognises the standard API *plus* proprietary enhancements of the SonicMQ implementation of JMS. You can use that class with the following setting:

```
config4jmsClass = "org.config4jms.sonicmq.Config4JMS";
```

I do not have any experience of other JMS products, but I imagine it should be possible to write additional subclasses of Config4JMS that support their proprietary enhancements.

If the value of config4jmsClass specifies the SonicMQ-specific class, then you can use SonicMQ-proprietary features in a straightforward manner, as I now discuss.

You can create instances of SonicMQ-proprietary types by creating appropriate configuration scopes:

```
MultiTopic.myTopic { ... }
XMLMessage.myMessage { ... }
```

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You can set SonicMQ-proprietary properties in the same manner that you set JMS-standardised properties:

```
MessageConsumer.chatConsumer {
    createdBy = "consSession";
    create { ... }
    prefetchCount = "5";  # proprietary to SonicMQ
    prefetchThreshold = "2";  # proprietary to SonicMQ
}
```

The configuration file in Figure 10.1 on page 84 retrieves administered objects from the naming service by setting **obtainMethod** to a value of the form "jndi#...", as I repeat below for ease of reference:

```
Topic.chatTopic {
    obtainMethod = "jndi#SampleTopic1";
}
ConnectionFactory.factory1 {
    obtainMethod = "jndi#SampleConnectionFactory1";
}
```

Within a ConnectionFactory sub-scope, if you set obtainMethod to the value "create", then you can create a ConnectionFactory using a proprietary constructor and use properties to specify a proprietary quality of service for it:

Within a Topic or Queue sub-scope, setting obtainMethod to the value "create" enables you to create a topic or queue by invoking a factory operation on a Session object:

The JMS specification defines factory operations on Session for creating destination object. However, the JMS specification does not define allowable values for the queueName or topicName parameter; such values are vendor-proprietary, which is why using factory operations to create destination objects is considered proprietary.

#### 10.5 Benefits

Config4JMS offers several significant benefits, most of which are due to it enforcing a separation of concerns:<sup>1</sup> it separates the initialisation of JMS from the "business logic" code that uses JMS.

#### 10.5.1 Code Readability

As I explained in Section 9.4.2 on page 78, the initialisation of JMS is verbose enough to confuse developers who are new to JMS. By encapsulating the initialisation steps in a configuration file, a new developer can write that once, forget about it, and then focus on the "business logic" code in a Java file.

For example, in the source code of Figure 10.3 on page 89, the programmer need be concerned with just three JMS objects: a TextMessage, MessageConsumer and a MessageProducer. The other six JMS-related objects (a naming service, Topic, ConnectionFactory, Connection, and two Session objects) are really just "initialisation baggage" that has been encapsulated by Config4JMS.

The impact on code readability of encapsulating "initialisation baggage" can be dramatic. For example, the Chat.java demo supplied with Config4JMS contains significantly less code and is easier to understand than an equivalent demos written using the raw API of JMS.

#### 10.5.2 Configurability

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A lot of JMS behaviour is determined by qualities of service, for example, timeout values and whether messages are persistent. All these qualities of service can be expressed in a Config4JMS configuration file, which means that a Config4JMS-based application is highly configurable by default.

In contrast, if an application uses just the raw API of JMS, then its developer must explicitly write extra code to: (1) retrieve qualities of service information from a runtime configuration file, and (2) invoke the appropriate set<Name>() operations to apply them. If a developer is too busy or lazy to write such code, then the application will provide a hard-coded rather than configurable quality of service.

#### 10.5.3 A Portable Way to Use Proprietary Features

Consider the following scenario. A producer application does not send any messages for an extended period of time, but then it sends a burst of, say, a hundred large messages, before going silent again. Such bursts of messages might cause a backlog of traffic to be sent via JMS, and this backlog might cause communications between applications to slow down while the backlog of messages is being transmitted.

The JMS specification does not offer any help to deal with a potential slowdown caused by a burst of many messages. However, some JMS products provide proprietary enhancements for dealing with this. For example, the  $\mathrm{SonicMQ^2}$  product, provides a flow control feature that can throttle back the rate of message flow from a producer, and a separate flow to disk feature that can be used by consumer applications that cannot process a sudden burst of messages fast enough.

If you are using SonicMQ when developing a JMS application, then you might be be tempted to make use of the flow control and flow to disk features. Unfortunately, hard-coding use of these proprietary features into the Java code of your application would make your application non-portable to other JMS products. But if you use Config4JMS, then you can specify the use of these features in a configuration file. In this way, your Java code remains portable. If you later migrate to another JMS product, then you need only modify the configuration file to remove use of the SonicMQ-proprietary features and, optionally, make use of features proprietary to the replacement JMS product.

<sup>&</sup>lt;sup>1</sup>http://en.wikipedia.org/wiki/Separation of concerns

<sup>&</sup>lt;sup>2</sup>http://web.progress.com/en/sonic/sonicmq.html

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#### 10.5.4 Reusability of Demonstration Applications

A JMS product might contain a dozen or more demonstration applications. One application is hard-coded to demonstrate communication via queues. A second application is hard-coded to demonstrate communication via topics. A third application is hard-coded to demonstrate the use of durable subscribers with topics. Several more applications are hard-coded the demonstrate the use of JMS-compliant qualities of service (using a separate application to demonstrate each quality of service). Yet more applications are hard-coded to demonstrate the use of vendor-proprietary qualities of service. And so on.

By using Config4JMS, a JMS vendor can significantly reduce the number of demonstration applications that need to be provided with a product. For example, the Chat.java application supplied with Config4JMS can be used to demonstrate: (1) communication via queues; (2) communication via topics; (3) the use of durable subscribers with topics; (4) different JMS-compliant qualities of service; (5) different vendor-proprietary qualities of service. All that is needed is to modify the application's Config4JMS configuration file to specify the desired type of communication and the desired qualities of service.

Shipping a JMS product with a small number of highly configurable Config4JMS-based demonstration applications offers several benefits.

First, it benefits the JMS vendor because fewer demonstration applications have to be written, maintained and documented.

Second, it can shorten the learning curve for developers who are new to JMS or new to the proprietary features of a JMS product. This is because the develops can "play with" JMS concepts and proprietary features without having to write any code—instead, they just edit a configuration file. This shortening of the learning curve benefits not just developers employed by customers, but also newly employed technical staff of the JMS vendor.

Third, a Config4JMS-enabled demonstration application can be configured to obtain <code>Destination</code> and <code>ConnectionFactory</code> objects: (1) by invoking proprietary factory operations; or (2) from a pre-populated naming service. A developer who is learning to how use a JMS product can use technique (1) initially, and then switch to (2) later after learning how to do the required administration tasks. This means that a developer does not need to learn administration skills before being able to write a portable JMS application.

Finally, if a customer discovers a bug in a JMS product, then Con-

fig4JMS makes it easier for the customer to submit a test case. This is because a test case is likely to consist of a small amount of Java code (perhaps one of the demonstration applications supplied with the JMS product), plus a configuration file. In fact, the adaptive configuration capabilities Config4\* might sometimes make it possible for a single configuration file to demonstrate a bug and a workaround for it. This possibility is illustrated by the configuration file shown in Figure 10.4.

Figure 10.4: Flexible test-case configuration file

```
workAroundBug ?= "false";
chat {
    config4jmsClass = "org.config4jms.acme.Config4JMS";
   indiEnvironment = [ ... ];
   Topic.chatTopic { ... }
   ConnectionFactory.factory1 { ... }
   Connection.connection1 { ... }
   Session.prodSession {
        createdBy = "connection1";
        create {
            transacted = "false";
            acknowledgeMode = "AUTO_ACKNOWLEDGE";
       @if (.workAroundBug == "true") {
            ... # set a proprietary property to one value
       } @else {
            ... # set the proprietary property to another value
   Session.consSession { ... }
   TextMessage.chatMessage { ... }
   MessageProducer.chatProducer { ... }
   MessageConsumer.chatConsumer { ... }
```

Let's assume that, using that configuration file, the buggy behaviour can be illustrated by running the following command:

```
java TestCase -cfg test-case.cfg -scope chat
```

Then, by using a command-line option to "preset" the workAroundBug variable to true, the workaround for the bug can be illustrated:

```
java TestCase -cfg test-case.cfg -scope chat -set workAroundBug true
```

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#### 10.6 Drawbacks

Config4JMS has only a few, relatively minor drawbacks. I discuss them here for the sake of completeness.

#### 10.6.1 Only Two Implementations So Far

To date, there are only two implementations of Config4JMS: one that provides access to only the portable API of JMS; and another implementation that provides access to that portable API plus the proprietary features of the SonicMQ product. It would be good to see Config4JMS enhanced to provide additional implementations that support the proprietary features of other JMS vendor products.

I am not aware of any technical issues that might make it difficult to enhance Config4JMS in this way. I estimate that a person who is already knowledgeable about a particular JMS product could extend Config4JMS to support its proprietary features with a few days of effort (at most).

#### 10.6.2 Lack of Support for Legacy API

Currently, Config4JMS supports only the unified API of JMS 1.1. It should be easy to add support for the legacy API of JMS 1.0, if the need ever arises. However, I view the lack of support for the legacy API as being a *benefit* because, as I explained in Section 9.4.1 on page 77, there are several drawbacks (and *no* benefits) associated with use of the legacy API.

# 10.7 Summary

In this chapter, I have explained how Config4JMS hides a lot of that complexity of JMS. The syntax of a Config4JMS configuration file is straightforward and the API is easy to use. Despite this simplicity, Config4JMS offers some significant benefits.

• The confusingly many initialisation steps for a JMS application can be encapsulated in a configuration file, and Java code can then focus on implementing the "business logic" code of an application. This separation of concerns helps to improve code readability.

- It is common for a JMS-based application to hard-code a particular quality of service. In contrast, a Config4JMS-based application expresses all qualities of service in a configuration file, which makes the application highly configurable by default.
- The use of proprietary features of a JMS product can be encapsulated in a configuration file, thus preserving the portability of Java application code.
- Config4JMS makes it easy for developers to "play with" JMS concepts or the proprietary features of a product without having to write much, if any, Java code. This can significantly reduce the learning curve for developers new to JMS or a particular JMS product.

In the previous chapter, I explained how one variant of the 80/20 Principle applied to JMS: 80% of a product's complexity is in 20% of its functionality. Config4JMS hides most of that complexity.

# Chapter 11

# Architecture of Config4JMS

#### 11.1 Introduction

In this chapter, I discuss how Config4JMS makes effective use of Config4\* and its schema language. However, to help readers understand why Config4JMS uses Config4\* in the way it does, I first need to provide an overview of the architecture of Config4JMS.

## 11.2 Packages

The source code of Config4JMS is spread over four packages:

org.config4jms
org.config4jms.base
org.config4jms.portable
org.config4jms.sonicmq

The org.config4jms package contains just two classes. One of these, Config4JMS, is an abstract base class that defines the API of Config4JMS. The other class, Config4JMSException.java, inherits from JMSException.

The org.config4jms.base package contains some basic functionality that is used by the classes in both the portable and sonicmq packages.

The org.config4jms.portable package contains a concrete subclass of Config4JMS, plus supporting classes. The concrete subclass, which is also called Config4JMS, supports the standardised API of JMS.

The org.config4jms.sonicmq package contains a concrete subclass of Config4JMS, plus supporting classes. This concrete subclass, which is also called Config4JMS, supports the standardised API of JMS plus proprietary enhancements that are specific to the SonicMQ implementation of JMS.

I considered having the classes in the <code>sonicmq</code> package inherit from their counterparts in the <code>portable</code> package. However, I decided against this approach because I felt it might result in the anti-pattern known as the yo-yo problem. Instead, I felt it was better (or, at least, less bad) to employ the "code reuse by copy-and-pasting" anti-pattern. If you wish to extend Config4JMS to support another implementation of JMS called, say, Foo, then you can do this by creating a package called <code>org.config4jms.foo</code>, copying all the files from the <code>portable</code> package into this new package, and then modifying the copied files to add support for Foo-proprietary features.

## 11.3 Important Classes

Abridged details of three important classes in the org.config4jms.base package are shown in Figure 11.1. I will discuss each of the three classes in turn.

#### 11.3.1 The Info Class

There is a subclass of Info for each JMS-related type. For example, the ConnectionInfo class is for the JMS Connection type, and the SessionInfo class is for the JMS Session type. One entire set of subclasses of Info are defined in the portable package. Another entire set of subclasses of Info are defined in the sonicmq packages.

The configuration file in Figure 10.1 on page 84 defines may scopes for JMS objects. Config4JMS creates an instance of the appropriate Info subclass for *each* of those scopes. For example, Config4JMS creates a ConnectionInfo object for the Connection.connection1 scope, and creates two SessionInfo objects: one for the Session.prodSession scope, and another for the Session.consSession scope.

A concrete subclass of Info implements its operations as follows.

• The validateConfiguration() operation performs schema validation of the configuration scope for the object. For efficiency, this

 $<sup>^{1}\,</sup>http://en.wikipedia.org/wiki/Yo-yo\_problem$ 

```
public abstract class Info
    public abstract void validateConfiguration()
                                    throws Config4JMSException:
    public abstract void createJMSObject()
                                    throws Config4JMSException;
    public abstract Object getObject();
    ... // other operations omitted for brevity
public class TypeDefinition
    public TypeDefinition(
             String
                         typeName,
             Strina[]
                         ancestorTypeNames,
             Strina
                         className);
    ... // operations omitted for brevity
public class TypesAndObjects
    private TypeDefinition[] typeDefinitions;
    private HashMap
                              objects:
    public void validateConfiguration(
                       Config4JMS config4jms,
                       Strina
                                   scope) throws Config4JMSException;
    ... // other operations omitted for brevity
```

operation also caches the values of configuration variables in instance variables.

- The createJMSObject() operation creates the JMS object and sets its properties, according to the (validated and cached) information in the configuration scope.
- The getObject() operation returns a reference to the newly created JMS object.

#### 11.3.2 The TypeDefinition Class

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The Config4JMS class is *not* hard-coded with knowledge of the numerous subclass of Info. Instead, Config4JMS uses Java reflection to create and manipulate Info objects from metadata. $^2$  This metadata is provided by instances of the TypeDefinition class (see Figure 11.1). I will illustrate this with three examples.

The TypeDefinition object below indicates that a Session (for example, a Session.prodSession scope) should be processed by creating an instance of the org.config4jms.portable.SessionInfo class. The null value indicates that Session is a base type, that is, it does not have any ancestor types:

This next TypeDefinition object indicates that Topic is a subtype of Destination, and an instance of Topic should be processed by creating an instance of the org.config4jms.portable.TopicInfo class:

This final example indicates that **Destination** has neither ancestor types nor an implementation class. In effect, it is an *abstract* base type:

```
new TypeDefinition("Destination", null, null);
```

The abstract nature of Destination means you cannot have Destination sub-scopes in a Config4JMS configuration file. However, an application can invoke, say, getDestination("chatTopic") on a Config4JMS object because Topic is a subtype of Destination. Likewise, invoking listDestinationNames() would return "chatTopic" among its results.

### 11.3.3 The TypesAndObjects Class

The TypesAndObjects class (see Figure 11.1) contains two instance variables:

<sup>&</sup>lt;sup>2</sup>Readers not familiar with Java reflection can find an informative overview in a free training course: www.ciaranmchale.com/training-courses.html#training-java-reflection. A more detailed discussion of Java reflection can be found in an excellent book [FF05] upon which that training course is based.

```
private TypeDefinition[] typeDefinitions;
private HashMap objects;
```

The typeDefinitions array holds metadata for all JMS data types. The org.config4jms.portable.PortableTypesAndObjects class creates an array of TypeDefinition for all the standardised JMS types. Conversely, the org.config4jms.sonicmq.SonicMQTypesAndObjects class creates an array of TypeDefinition for all the standardised JMS types plus the SonicMQ-proprietary types.

The objects variable is a HashMap that provides a flexible way to retrieve an Info object. For example, a TopicInfo object created from the Topic.chatTopic scope is registered in the HashMap via three keys: "chatTopic", "Topic,chatTopic" and "Destination,chatTopic".

- Storing the Info object under the name "chatTopic" provides an easy way for Config4JMS to check (and complain) if a name has been reused for different JMS types. For example, the scopes Topic.foo and Session.foo would result in an exception being thrown due to the reuse of the name foo.
- Storing the Info object under both "Destination, chatTopic" and "Topic, chatTopic" makes it possible for an application to obtain "chatTopic" in the results from calling listDestinationNames() or listTopicNames().

The TypesAndObjects class provides a lot of operations that manipulate its instance variables. In fact, a lot of Config4JMS functionality is implemented by having the Config4JMS class delegate to the TypesAndObjects class.

# 11.4 Algorithms Used in Config4JMS

With the knowledge of important infrastructure classes provided in Section 11.3, the implementation of Config4JMS is easy to understand.

#### 11.4.1 Initialisation

Pseudocode for the initialisation of a Config4JMS object is shown in Figure 11.2.

The create() operation creates an empty Configuration object and copies all the name=value pairs from the cfgPresets variable into it.

Figure 11.2: Pseudocode implementation of Config4JMS.create()

```
package org.config4jms;
public abstract class Config4JMS
   public static Config4JMS create(
       String
                           cfqSource,
       Strina
                           scope.
       Map
                           cfgPresets) throws Config4JMSException
   {
       // Parse the configuration file and retrieve the name of the
       // concrete subclass that we should create.
       //----
        cfq = Configuration.create():
        ... // populate cfg with name=value pairs from cfgSource
        cfg.parse(cfgSource):
        className = cfg.lookupString(scope, "config4jmsClass",
                                "org.config4ims.portable.Config4JMS"):
       // Use reflection to create an instance of the specified class
       //----
       c = Class.forName(className);
        cons = c.getConstructor(new Class[]
                               Configuration.class, String.class);
        return (Config4JMS)cons.newInstance(new Object[]cfg, scope);
   }
   protected Config4JMS(
        Configuration
                           cfq,
       String
                           scope
       TypesAndObjects
                          typesAndObjects) throws Config4JMSException
   {
        this.cfa = cfa:
        this.scope = scope;
        this.typesAndObjects = typesAndObjects;
        naming = null;
       indiEnvironment = cfg.lookup(scope, "jndiEnvironment",
                                    new String[0]);
        typesAndObjects.validateConfiguration(this, scope);
   }
```

Then the configuration file is parsed, and lookupString() is invoked to get the value of the config4jmsClass variable. Finally, Java's reflection capabilities are used to create an instance of the specified class, which must be a subclass of org.config4jms.Config4JMS.

The constructor of the subclass just invokes its parent class's constructor, passing a TypesAndObjects parameter that provides the metadata necessary to create JMS-based objects via reflection.

The base class constructor, which is shown in Figure 11.2, initialises instance variables and then performs schema validation of the configuration file by invoking validateConfiguration() on its typesAndObjects object.

#### 11.4.2 Schema Validation

 $\label{thm:configuration} \textbf{TypesAndObjects.validateConfiguration()} \ \ performs \ \ schema \ \ validation \\ in three steps.$ 

**Step 1.** A schema for the top-level of the configuration scope is created. This schema is of the form:

```
String schema = new String[] {
    "config4jmsClass = string",
    "jndiEnvironment = table[name, string, value, string]",
    "ConnectionFactory = scope",
    "Connection = scope",
    "Session = scope",
    ...
};
```

Only the first two entries (config4jmsClass and jndiEnvironment) in the schema are hard-coded. The remaining schema entries are obtained by iterating over the typeDefinitions array, which holds metadata for all JMS data types. For each non-abstract data type in that array, a string of the form "<type-name> = scope" is added to the schema.

Once that schema definition has been created, it is used to validate the top-level configuration scope:

```
sv = new SchemaValidator(schema);
sv.validate(cfg, scope, "", false, Configuration.CFG_SCOPE_AND_VARS);
```

The false parameter indicates that the validation should *not* recurse into sub-scopes.

Step 2. Each sub-scope corresponding to a JMS data type can contain nested scopes but *not* variables. For example, a Session scope can contain nested scopes (one for each session object), but it cannot contain any variables. The code below performs this validation check.

First an *empty* schema (that is, an array containing zero strings) is created. Then, when iterating over the typeDefinitions array, abstract types are ignored (because they cannot have a scope in the configuration file). A concrete type is also ignored if cfg.type() indicates there is no scope matching the type's name in the configuration file. If there is a scope for the type, then it is validated, but *only* for the variables it might contain.

Step 3. Nested for-loops are used to iterate over every type.name configuration sub-scope (for example, ConnectionFactory.factory1, Connection.connection1, Topic.chatTopic, Session.prodSession, Session.consSession and so on). For each such sub-scope, Java's reflection capabilities are used to create an instance of a <type>Info object for that scope. For example, a TopicInfo object is created for the Topic.chatTopic scope. Each <type>Info object is registered in the objects map multiple times (as discussed in Section 11.3.3 on page 102). Then, validateConfiguration() is invoked on the newly created <type>Info object so the object can validate (and cache in instance variables) the configuration variables in its own scope. The schema validation code within a <type>Info class is straightforward, as you will be able to see if you example the source code of any those classes.

The three-step algorithm could be simplified by combining steps 1 and 2 into a single step, as I now discuss.

Recall that step 1 creates the schema definition by iterating over the typeDefinitions array and, for each non-abstract data type in that array, adding a string of the form "<type-name> = scope" to the schema. That algorithm could be modified so that another string, this one of the form "@ignoreScopesIn <type-name>", is also added to the schema. The resulting schema would be of the form:

```
String schema = new String[] {
    "config4jmsClass = string",
    "jndiEnvironment = table[name, string, value, string]",
    "ConnectionFactory = scope",
    "@ignoreScopesIn ConnectionFactory",
    "Connection = scope",
    "@ignoreScopesIn Connection",
    "Session = scope",
    "@ignoreScopesIn Session",
    ...
};
```

Once that schema definition has been created, it would then be used to perform a *recursive* schema validation of the top-level configuration scope:

```
sv = new SchemaValidator(schema);
sv.validate(cfg, scope, "", true, Configuration.CFG_SCOPE_AND_VARS);
```

The true parameter indicates that the validation *does* recurse into subscopes.

Those changes to step 1 of the algorithm are quite trivial (they require adding one line of new code and modifying another line of existing code), and they eliminate the need for step 2 (which accounts for 12 lines of code). Some readers may be wondering why Config4JMS does not use the simpler algorithm that I just described. There are two reasons for this:

• I developed Config4JMS before I added ignore rules to the schema language. By the time I had introduced support for ignore rules, I had left my employer and, in doing so, lost access to the license for the JMS product I had used to develop Config4JMS. I could easily make the two-line change to simplify the algorithm, but I would not be able to test the changes. I decided to not ship Config4JMS in an untested state.

• I think the discussion of the original and simplified algorithms is beneficial for readers because it illustrates how ignore rules can shorten and simplify schema validation code.

#### 11.4.3 The createJMSObjects() Operation

Config4JMS.createJMSObjects() delegates to an identically-named operation on the TypesAndObjects class. That operation iterates over all the <type>Info> objects that had been created during step 3 of the schema validation algorithm, and invokes createJMSObject() on each object. The only complication is that JMS objects have to be created in a particular order. For example, a ConnectionFactory object must be created before it can be used to create a Connection object. Likewise, a Connection object must be created before creating Session objects.

The order-of-creation guarantee is provided in a simple way. When an array of TypeDefinition objects is being created (see Section 11.3.3 on page 102), the order of elements in the array specifies the order in which objects of those types will be created. That enables createJMSObjects() to ensure that JMS objects are created in the required order.

# 11.5 Comparison with Spring

The purpose of Config4JMS overlaps a bit with that of the Spring framework. In particular, both use information in a configuration file to create Java objects. This overlap is bound to invite comparisons between the two projects. In reality, the two projects are more different than alike, so a comparison of them would be akin to comparing apples and oranges.

One obvious difference between Spring and Config4JMS is the configuration syntax used: Spring uses XML while Config4JMS uses Config4\*. However, that difference is relatively unimportant.

A much more significant difference—and what I consider to be the *primary* difference—is that Spring can create Java objects of *arbitrary* types, while Config4JMS is restricted to creating *only* JMS objects. Many other differences between Spring and Config4JMS can be traced back to that primary difference. For example:

• The general-purpose nature of Spring requires a bean definition to specify the fully-scoped (and hence verbose) name of its class. In contrast, the specialised nature of Config4JMS means it can get away with specifying the more concise local name of a class.

- The specialised nature of Config4JMS means that it can be hard-coded to automatically perform data-type conversion. For example, Config4JMS uses lookupDurationMilliseconds() of Config4\* when setting the JMSExpiration or timeToLive properties of JMS objects. The general-purpose nature of Spring prevents the use of such techniques.
- The specialised nature of Config4JMS means it is a relatively small project: just a few thousand lines of code that compiles to an 80KB jar file. There is another 106KB for the Config4J jar file upon which Config4JMS depends, thus making for a total of 186KB in jar files. Spring offers significantly more functionality than Config4JMS, and this is reflected in it being approximately one hundred times bigger.

#### 11.6 Future Maintenance

My inspiration for developing Config4JMS came about in early 2010, when my manager asked me to learn JMS. I began by reading the JMS specification and some product manuals. Unfortunately, the problems discussed in Section 9.4 on page 77 made learning JMS more difficult than I had expected, so I decided to write Config4JMS. I figured that: (1) implementing this class library would help me to learn JMS; and (2) the resulting class library might actually be useful. I was right on both counts. Development of Config4JMS took about two weeks of hard but enjoyable work.

Unfortunately, a few few months after developing Config4JMS, I was laid off during a restructuring of the company I worked for. My new career plans mean it is unlikely that I will be working much with JMS in the future, so I will have little motivation to maintain and extend Config4JMS. If any readers would like take over maintenance of Config4JMS, then that would be great. Please let me know if you are interested in taking on this responsibility.

## 11.7 Summary

In this chapter, I have provided an overview of the architecture of Config4JMS. The "lots of power from a small class library" feel of Config4JMS is due to a synergy between its use of Config4J and Java's reflection

capabilities. This synergy enables Config4JMS to provide a useful simplification and portability wrapper around JMS with a relatively small amount of code.

The Config4J schema language is not powerful enough to validate an entire Config4JMS file in one go. However, Config4JMS works around this in a straightforward manner: as I discussed in Section 11.4.2 on page 105, it breaks up schema validation into a sequence of smaller steps, each of which *is* within the capabilities of the schema language.

# Bibliography

- [FF05] Ira R. Forman and Nate Forman. Java Reflection in Action. Manning, 2005.
- [Mil56] George A. Miller. The magical number seven, plus or minus two: Some limits on our capacity for processing information. Psychological Review, 101(2):343–352, 1956.

  A summary of this paper can be found in Wikipedia: http://en.wikipedia.org/wiki/The\_Magical\_Number\_Seven, \_Plus\_or\_Minus\_Two. That Wikipedia article also contains links to HTML and PDF versions of the paper.